

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2021**

**Open to Public Inspection**

**For calendar year 2021 or tax year beginning and ending**

Name of foundation <b>WYSS FOUNDATION</b>		<b>A Employer identification number</b> 25-1823874	
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	<b>B Telephone number (see instructions)</b> (202) 232-4418	
City or town, state or province, country, and ZIP or foreign postal code WASHINGTON, DC 20009		<b>C</b> If exemption application is pending, check here. . . . . <input type="checkbox"/> <b>D</b> 1. Foreign organizations, check here. . . . . <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/> <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here. . . . . <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. . . . . <input type="checkbox"/>	
<b>G</b> Check all that apply:	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,711,198,418.	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis.)		

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)	NONE			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities . . . . .	24,077,764.	24,077,764.		
5a Gross rents . . . . .				
b Net rental income or (loss) _____				
6a Net gain or (loss) from sale of assets not on line 10	58,265,144.			
b Gross sales price for all assets on line 6a 192,964,067.				
7 Capital gain net income (from Part IV, line 2) . . . . .		56,397,965.		
8 Net short-term capital gain. . . . .				
9 Income modifications . . . . .				
10a Gross sales less returns and allowances . . . . .				
b Less: Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) . . . . .	5,591,168.	2,906,518.		STMT 1
12 <b>Total.</b> Add lines 1 through 11 . . . . .	87,934,076.	83,382,247.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc. . . . .	1,261,122.			1,261,122.
14 Other employee salaries and wages . . . . .	2,090,396.			2,090,396.
15 Pension plans, employee benefits . . . . .	335,167.			335,167.
16a Legal fees (attach schedule) . . . . . STMT 2	407,717.	NONE	NONE	407,717.
b Accounting fees (attach schedule) . . . . .				
c Other professional fees (attach schedule) . . . . .				
17 Interest . . . . . STMT 3	238,525.	238,525.		
18 Taxes (attach schedule) (see instructions). * *	901,808.	573,774.		328,034.
19 Depreciation (attach schedule) and depletion . . . . .	365,183.	136,250.		
20 Occupancy . . . . .	196,508.			196,508.
21 Travel, conferences, and meetings . . . . .	179,874.			179,874.
22 Printing and publications . . . . .				
23 Other expenses (attach schedule) STMT 5	9,171,829.	7,012,402.		1,512,936.
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23. . . . .	15,148,129.	7,960,951.	NONE	6,311,754.
25 Contributions, gifts, grants paid . . . . .	132,214,860.			132,214,860.
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	147,362,989.	7,960,951.	NONE	138,526,614.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-59,428,913.			
b <b>Net investment income</b> (if negative, enter -0-)		75,421,296.		
c <b>Adjusted net income</b> (if negative, enter -0-)			-0-	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .	2,020,356.	1,042,345.	1,042,345.
	2	Savings and temporary cash investments . . . . .	272,507,767.	203,297,453.	203,297,453.
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U.S. and state government obligations (attach schedule) . .			
	b	Investments - corporate stock (attach schedule) . STMT 6 . . . . .	682,163,153.	871,149,190.	1,222,786,319.
	c	Investments - corporate bonds (attach schedule) . . . . .			
	11	Investments - land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) . . . . . STMT 7 . . . . .	964,346,377.	784,625,079.	1,187,529,581.
	14	Land, buildings, and equipment: basis ▶ _____ 18,696,519. Less: accumulated depreciation (attach schedule) ▶ _____ 1,280,532.	17,781,170.	17,415,987.	17,415,987.
15	Other assets (describe ▶ _____ STMT 9 )	80,513,499.	79,126,733.	79,126,733.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	2,019,332,322.	1,956,656,787.	2,711,198,418.	
Liabilities	17	Accounts payable and accrued expenses . . . . .	325,020.	78,399.	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____ )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	325,020.	78,399.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions . . . . .			
	25	Net assets with donor restrictions . . . . . Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds . . . . .			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	28	Retained earnings, accumulated income, endowment, or other funds . .	2,019,007,301.	1,959,578,388.	
	29	<b>Total net assets or fund balances</b> (see instructions) . . . . .	2,019,007,301.	1,959,578,388.	
30	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	2,019,332,321.	1,959,656,787.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	2,019,007,301.
2	Enter amount from Part I, line 27a . . . . .	2	-59,428,913.
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,959,578,388.
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 . . . .	6	1,959,578,388.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> SEE PART IV SCHEDULE						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))			
<b>a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.						
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))			
<b>a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	56,397,965.		
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 . . . . .	{ }	<b>3</b>			

**Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)	<b>1</b>	1,048,356.
<b>b</b>	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b) . . . . .		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2 . . . . .	<b>3</b>	1,048,356.
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>4</b>	NONE
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	1,048,356.
<b>6</b>	Credits/Payments:		
<b>a</b>	2021 estimated tax payments and 2020 overpayment credited to 2021 . . . . .	<b>6a</b>	2,266,729.
<b>b</b>	Exempt foreign organizations - tax withheld at source . . . . .	<b>6b</b>	NONE
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	NONE
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d . . . . .	<b>7</b>	2,266,729.
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	1,218,373.
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2022 estimated tax</b> ▶ 1,218,373. <b>Refunded</b> ▶	<b>11</b>	

**Part VI-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. . . . . If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	X	
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	X	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by <i>General Instruction T</i> .		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ <u>DC, PA,</u>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation . . . . .	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII . . . . .		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X
<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions . . . . .		X
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .		X
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>WYSSFOUNDATION.ORG</u>	X	
<b>14</b> The books are in care of ▶ <u>MOLLY MCUSIC</u> Telephone no. ▶ <u>202-232-4418</u> Located at ▶ <u>1759 R STREET, NW WASHINGTON, DC</u> ZIP+4 ▶ <u>20009</u>		
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> - check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>		
<b>16</b> At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
<b>1a</b> During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<b>1a(1)</b>	X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<b>1a(2)</b>	X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<b>1a(3)</b>	X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<b>1a(4)</b>	X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<b>1a(5)</b>	X
(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .	<b>1a(6)</b>	X
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .	<b>1b</b>	X
<b>c</b> Organizations relying on a current notice regarding disaster assistance, check here. . . . . <input type="checkbox"/>		
<b>d</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? . . . . .	<b>1d</b>	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? . . . . .	<b>2a</b>	X
If "Yes," list the years <b>▶</b> _____, _____, _____, _____		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) . . . . .	<b>2b</b>	X
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. <b>▶</b> _____, _____, _____, _____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<b>3a</b>	X
<b>b</b> If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.) . . . . .	<b>3b</b>	
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	<b>4a</b>	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021? . . . . .	<b>4b</b>	X

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year, did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
<b>(1)</b>	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<b>5a(1)</b>		X
<b>(2)</b>	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<b>5a(2)</b>		X
<b>(3)</b>	Provide a grant to an individual for travel, study, or other similar purposes?	<b>5a(3)</b>		X
<b>(4)</b>	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d) (4)(A)? See instructions.	<b>5a(4)</b>		X
<b>(5)</b>	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<b>5a(5)</b>		X
<b>b</b>	If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	<b>5b</b>		
<b>c</b>	Organizations relying on a current notice regarding disaster assistance, check here <input checked="" type="checkbox"/>			
<b>d</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	<b>5d</b>		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>6a</b>		X
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	<b>6b</b>		X
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<b>7a</b>		X
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>7b</b>		
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<b>8</b>		X

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		1,261,122.	133,979.	NONE

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		940,494.	167,196.	NONE

**Total number of other employees paid over \$50,000** ▶ 10

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 17		361,984.

**Total number of others receiving over \$50,000 for professional services** . . . . . **NONE**

**Part VIII-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

**Part VIII-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
2	
All other program-related investments. See instructions. 3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	2,236,011,053.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	2,073,506.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	306,808,606.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	2,544,893,165.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	2,544,893,165.
<b>4</b>	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	38,173,397.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 . . . . .	<b>5</b>	2,506,719,768.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% (0.05) of line 5. . . . .	<b>6</b>	125,335,988.

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part IX, line 6. . . . .	<b>1</b>	125,335,988.
<b>2a</b>	Tax on investment income for 2021 from Part V, line 5. . . . .	<b>2a</b>	1,048,356.
<b>b</b>	Income tax for 2021. (This does not include the tax from Part V.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	1,048,356.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	124,287,632.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	397,247.
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	124,684,879.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 . . . . .	<b>7</b>	124,684,879.

**Part XI Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	138,526,614.
<b>b</b>	Program-related investments - total from Part VIII-B . . . . .	<b>1b</b>	NONE
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4 . . . . .	<b>4</b>	138,526,614.



**Part XII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
<b>1</b> Distributable amount for 2021 from Part X, line 7				124,684,879.
<b>2</b> Undistributed income, if any, as of the end of 2021:				
<b>a</b> Enter amount for 2020 only. . . . .			37,402,377.	
<b>b</b> Total for prior years: 20 <u>19</u> , 20 <u>18</u> , 20 <u>17</u>				
<b>3</b> Excess distributions carryover, if any, to 2021:				
<b>a</b> From 2016 . . . . .				
<b>b</b> From 2017 . . . . .				
<b>c</b> From 2018 . . . . .				
<b>d</b> From 2019 . . . . .				
<b>e</b> From 2020 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>138,526,614.</u>				
<b>a</b> Applied to 2020, but not more than line 2a . . .			37,402,377.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2021 distributable amount. . . . .				101,124,237.
<b>e</b> Remaining amount distributed out of corpus. . .	NONE			
<b>5</b> Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022. . . . .				23,560,642.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) . . .				
<b>9</b> Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2017 . . . . .				
<b>b</b> Excess from 2018 . . . . .				
<b>c</b> Excess from 2019 . . . . .				
<b>d</b> Excess from 2020 . . . . .				
<b>e</b> Excess from 2021 . . . . .	NONE			

**Part XIII Private Operating Foundations** (see instructions and Part VI-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed . . . . .

	Tax year				(e) Total
	(a) 2021	(b) 2020	(c) 2019	(d) 2018	
<b>b</b> 85% (0.85) of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XI, line 4, for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon: . . . . .					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

HANSJOERG WYSS

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XIV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>  SEE STATEMENT 20				132,214,860.
<b>Total</b> .....				<b>▶ 3a</b> 132,214,860.
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> .....				<b>▶ 3b</b>



**Part XVI** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
 

	Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1) Cash . . . . .		X
(2) Other assets . . . . .		X
b Other transactions:		
(1) Sales of assets to a noncharitable exempt organization . . . . .		X
(2) Purchases of assets from a noncharitable exempt organization . . . . .		X
(3) Rental of facilities, equipment, or other assets . . . . .		X
(4) Reimbursement arrangements . . . . .		X
(5) Loans or loan guarantees . . . . .		X
(6) Performance of services or membership or fundraising solicitations . . . . .		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .	X	
- d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
1C	114,113.	BERGER ACTION FUND INC.	PAYMENT FROM ORGANIZATION FOR SHARE PERSONNEL & FACILITIES

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No
- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
BERGER ACTION FUND	IRC 501(C)(4)	COMMON DIRECTORS & OFFICERS
INC.		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	JOSEPH FISHER <small>Signature of officer or trustee</small>	 <small>Date</small>	TREASURER <small>Title</small>	May the IRS discuss this return with the preparer shown below? See instructions. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
------------------	---	-------------------------	-----------------------------------	---

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN	
	KIMBERLY BIER	<i>Kimberly Bier</i>	10/26/2022		P01697519	
	Firm's name	Firm's EIN	Firm's address			
	PRICEWATERHOUSECOOPERS LLP	13-4008324	2001 MARKET ST-SUITE 1800 PHILADELPHIA, PA 19103			
			Phone no. 267-330-3000			

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**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					-23624513.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					52576395.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					64,232.	
33260480.		NORTHERN TRUST - 26-49521 - SEE ATTACHED PROPERTY TYPE: SECURITIES 30611690.				P	07/01/2021	12/31/2021
							2,648,790.	
62062639.		NORTHERN TRUST - 26-49521 - ATTACHED PROPERTY TYPE: SECURITIES 49820197.				P	01/01/2020	12/31/2021
							12242442.	
4,447,806.		NORTHERN TRUST - 26-49521 - ATTACHED PROPERTY TYPE: SECURITIES 3,921,553.				P	01/01/2020	12/31/2021
							526,253.	
9,088,549.		NORTHERN TRUST - 26-49522 - ATTACHED PROPERTY TYPE: SECURITIES 8,492,766.				P	07/01/2021	12/31/2021
							595,783.	
18018477.		NORTHERN TRUST - 26-49522 - ATTACHED PROPERTY TYPE: SECURITIES 13459423.				P	01/01/2020	12/31/2021
							4,559,054.	
1,287,717.		NORTHERN TRUST - 26-49522 ATTACHED PROPERTY TYPE: SECURITIES 1,139,864.				P	01/01/2020	12/31/2021
							147,853.	
28068823.		MORGAN STANLEY - SEE ATTACHED PROPERTY TYPE: SECURITIES 23687935.				P	07/01/2021	12/31/2021
							4,380,888.	
7,666,872.		MORGAN STANLEY - SEE ATTACHED PROPERTY TYPE: SECURITIES 5,386,087.				P	01/01/2020	12/31/2021
							2,280,785.	

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
46,581.		MORGAN STANLEY - STELLANTIS 46,581.					03/21/2021	04/16/2021
9.		MORGAN STANLEY - CREDIT SUISSE GRP PROPERTY TYPE: SECURITIES 6.				P	05/12/2021 3.	11/22/2021
TOTAL GAIN(LOSS) .....							----- 56397965. =====	

FORM 990PF, PART I - OTHER INCOME  
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
CAPULA GLOBAL FUND	964,541.	964,541.
BAUPOST VALUE PARTNERS I LP	491,174.	183,732.
BAUPOST VALUE PARTNERS III LP	3,034,075.	1,077,700.
OTHER INCOME	3,489.	3,489.
FINEPOINT CAPITAL	140,552.	140,552.
NON-TAXABLE INCOME	718,779.	
RIEF STRATEGIC PARTNERS LP	108,441.	108,441.
RENTAL INCOME	130,117.	130,117.
GILTI INCOME FROM FORM 8992		297,946.
PREVIOUSLY TAXED GILTI E&P		NONE
TOTALS	----- 5,591,168. =====	----- 2,906,518. =====



FORM 990PF, PART I - LEGAL FEES  
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
PROFESSIONAL FEES	407,717.			407,717.
TOTALS	407,717.	NONE	NONE	407,717.
	=====	=====	=====	=====

FORM 990PF, PART I - INTEREST EXPENSE  
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
BAUPOST VALUE PARTNERS LP - I	9,308.	9,308.
BAUPOST VALUE PARTNERS LP- III	63,235.	63,235.
RIEF STRATEGIC PARTNERS FUND	133,189.	133,189.
FINEPOINT CAPITAL	311.	311.
TPG HEALTHCARE	5,869.	5,869.
TPG PARTNERS VIII	26,613.	26,613.
	-----	-----
TOTALS	238,525.	238,525.
	=====	=====

FORM 990PF, PART I - TAXES  
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
PAYROLL TAXES	202,529.		202,529.
STATE & LOCAL TAXES	41,197.	41,197.	
FOREIGN TAXES	457,882.	457,882.	
REAL ESTATE TAXES	200,200.	74,695.	125,505.
	-----	-----	-----
TOTALS	901,808.	573,774.	328,034.
	=====	=====	=====

FORM 990PF, PART I - OTHER EXPENSES  
 =====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
PORTFOLIO DEDUCTIONS	6,751,059.	6,751,059.	
INSURANCE EXPENSE	102,984.		102,984.
UTILITIES	41,077.		41,077.
OFFICE EXPENSES	115,093.		115,093.
NON-DEDUCTIBLE EXPENSES	37,491.		
PASS-THROUGH DEPLETION EXPENSE	107,677.	41,210.	
IRC SECTION 59(E)(2) EXPENSES	542,533.		
CONSULTING SERVICES	1,473,915.	220,133.	1,253,782.
	-----	-----	-----
TOTALS	9,171,829.	7,012,402.	1,512,936.
	=====	=====	=====

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
NORTHERN TRUST	190,918,033.	216,157,688.	252,250,140.
MORGAN STANLEY	491,245,120.	654,991,502.	970,536,179.
TOTALS	----- 682,163,153. =====	----- 871,149,190. =====	----- 1,222,786,319. =====

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
BAUPOST VALUE PARTNERS L.P.	226,634,919.	166,107,006.	175,783,039.
CAMPUS BIOTECH	84,525,339.	84,525,339.	117,867,962.
CAPULA FUND	64,587,486.	57,716,815.	64,563,372.
CAXTON FUND	60,000,000.	60,000,000.	96,691,480.
RENAISSANCE INSTITUTIONAL	70,884,418.	71,483,686.	123,687,991.
TUDOR GLOBAL FUND	42,725,415.	42,725,415.	70,243,093.
OTHER INVESTMENT FUNDS	414,914,950.	301,992,968.	538,618,794.
INVESTMENTS IN SUBSIDIARIES	73,850.	73,850.	73,850.
	-----	-----	-----
TOTALS	964,346,377.	784,625,079.	1,187,529,581.
	=====	=====	=====

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT  
 =====

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
LAND	L	5,000,000.			5,000,000.				
OFFICE BUILDING	M39	11747892.			11747892.	715,564.	301,216.		1,016,780.
BUILDING IMPROVEME	M39	1,290,526.			1,290,526.	78,606.	33,089.		111,695.
OFFICE EQUIPMENT	M5	156,375.			156,375.	102,410.	18,014.		120,424.
BUILDING IMPROVEME	M39	501,726.			501,726.	18,769.	12,864.		31,633.
TOTALS		18696519.			18696519.	915,349.			1,280,532.
		=====			=====	=====			=====

FORM 990PF, PART II - OTHER ASSETS

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
SECURITY DEPOSIT ON RENTAL	6,000.		
PROGRAM RELATED INVESTMENTS	80,507,499.	79,126,733.	79,126,733.
	-----	-----	-----
TOTALS	80,513,499.	79,126,733.	79,126,733.
	=====	=====	=====



FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:  
HANSJOERG WYSS

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
CHAIRMAN

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8.00

COMPENSATION ..... NONE

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
JOSEPH FISHER

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
TREASURER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8.00

COMPENSATION ..... NONE

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... NONE

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
MOLLY MCUSIC

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
PRESIDENT & DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 746,910.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 66,882.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
MARY KILLINGSWORTH

ADDRESS:  
679 EAST 2ND AVENUE  
UNIT 3  
DURANGO, CO 81301

TITLE:  
VICE PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 355,512.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 36,810.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
PATRICIA KOHL DAVIS

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
SECRETARY / CFO

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40.00

COMPENSATION ..... 158,700.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS ..... 30,287.

EXPENSE ACCOUNT AND OTHER ALLOWANCES ..... NONE

OFFICER NAME:  
ROBERT BLAND

ADDRESS:  
1759 R STREET NW  
WASHINGTON, DC 20009

TITLE:  
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 4.00

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

COMPENSATION .....	NONE
CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....	NONE
EXPENSE ACCOUNT AND OTHER ALLOWANCES .....	NONE
TOTAL COMPENSATION:	1,261,122. =====
TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS:	133,979. =====
EXPENSE ACCOUNT AND OTHER ALLOWANCES:	NONE =====

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:  
ELANOR STARMER

ADDRESS:  
1759 R STREET, NW  
WASHINGTON, DC 20009

TITLE:  
PROGRAM OFFICER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION:	40.00
COMPENSATION .....	156,992.
CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....	11,774.
EXPENSE ACCOUNT AND OTHER ALLOWANCES .....	NONE

EMPLOYEE NAME:  
HEATH NERO

ADDRESS:  
679 EAST 2ND AVENUE  
DURANGO, CO 81301

TITLE:  
PROGRAM OFFICER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION:	40.00
COMPENSATION .....	163,743.
CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....	27,665.
EXPENSE ACCOUNT AND OTHER ALLOWANCES .....	NONE

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:  
MATT HOLLAMBY

ADDRESS:  
1759 R STREET, NW  
WASHINGTON, DC 20009

TITLE:  
PROGRAM OFFICER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION:	40.00
COMPENSATION .....	177,949.
CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....	29,522.
EXPENSE ACCOUNT AND OTHER ALLOWANCES .....	NONE

EMPLOYEE NAME:  
ANGELA HOOTON

ADDRESS:  
1759 R STREET, NW  
WASHINGTON, DC 20009

TITLE:  
PROGRAM OFFICER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION:	40.00
COMPENSATION .....	177,859.
CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....	40,531.
EXPENSE ACCOUNT AND OTHER ALLOWANCES .....	NONE

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:  
I. SCOTT MESSINGER

ADDRESS:  
1759 R STREET, NW  
WASHINGTON, DC 20009

TITLE:  
VP & GENERAL COUNSEL

AVERAGE HOURS PER WEEK DEVOTED TO POSITION:	40.00
COMPENSATION .....	263,951.
CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS .....	57,704.
EXPENSE ACCOUNT AND OTHER ALLOWANCES .....	NONE
TOTAL COMPENSATION:	940,494. =====
TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS:	167,196. =====
EXPENSE ACCOUNT AND OTHER ALLOWANCES:	NONE =====

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:

CTF GLOBAL LLC

ADDRESS:

1401 NEW YORK AVENUE, NW  
WASHINGTON, DC 20005

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 150,000.

COMPENSATION EXPLANATION:

CONSULTING SERVICES

NAME:

GLOBAL STRATEGY GROUP LLC

ADDRESS:

215 PARK AVENUE SOUTH  
NEW YORK, NY 10003

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 137,720.

COMPENSATION EXPLANATION:

CONSULTING SERVICES



990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:

LUMINO LLC

ADDRESS:

1514 EISENHOWER PLACE  
ANN ARBOR, MI 48108

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 27,000.

COMPENSATION EXPLANATION:

CONSULTING SERVICES

NAME:

PERKINS COIE LLP

ADDRESS:

1201 THIRD AVENUE  
SEATTLE, WA 98101

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 24,752.

COMPENSATION EXPLANATION:

CONSULTING SERVICES

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:

RAND SOLUTIONS GROUP

ADDRESS:

888 17TH STREET, NW  
WASHINGTON, DC 20006

TYPE OF SERVICE:

CONSULTING SERVICES

COMPENSATION ..... 22,512.

TOTAL COMPENSATION: 361,984.

=====

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

RECIPIENT NAME:

SEE ATTACHED SCHEDULE

ADDRESS:

1759 R STREET, NW

WASHINGTON, DC 20009

PURPOSE OF GRANT:

CHARITABLE CONTRIBUTION

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID..... 132,214,860.

TOTAL GRANTS PAID: 132,214,860.  
=====

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.  
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.  
▶ Go to [www.irs.gov/F1041](http://www.irs.gov/F1041) for instructions and the latest information.

OMB No. 1545-0092

**2021**

Name of estate or trust

Employer identification number

WYSS FOUNDATION

25-1823874

Did you dispose of any investment(s) in a qualified opportunity fund during the tax year?  Yes  No

If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.

**Note:** Form 5227 filers need to complete **only** Parts I and II.

**Part I Short-Term Capital Gains and Losses - Generally Assets Held One Year or Less** (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
<b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .	70,417,852.	62,792,391.		7,625,461.
<b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .	46,590.	46,587.		3.
<b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .				
<b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .				<b>4</b>
<b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .				<b>5</b> -23,624,513.
<b>6</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2020 Capital Loss Carryover Worksheet. . . . .				<b>6</b> ( )
<b>7</b> <b>Net short-term capital gain or (loss).</b> Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ▶				<b>7</b> -15,999,049.

**Part II Long-Term Capital Gains and Losses - Generally Assets Held More Than One Year** (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
<b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .	93,483,511.	73,727,124.		19,756,387.
<b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .				
<b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .				
<b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .				<b>11</b>
<b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts. . . . .				<b>12</b> 52,576,395.
<b>13</b> Capital gain distributions. . . . .				<b>13</b> 64,232.
<b>14</b> Gain from Form 4797, Part I. . . . .				<b>14</b>
<b>15</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2020 Capital Loss Carryover Worksheet. . . . .				<b>15</b> ( )
<b>16</b> <b>Net long-term capital gain or (loss).</b> Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ▶				<b>16</b> 72,397,014.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2021

<b>Part III Summary of Parts I and II</b>		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>Caution:</b> Read the instructions <i>before</i> completing this part.				
<b>17</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>17</b>		-15,999,049.
<b>18</b>	<b>Net long-term gain or (loss):</b>			
a	Total for year . . . . .	<b>18a</b>		72,397,014.
b	Unrecaptured section 1250 gain (see line 18 of the worksheet.) . . . . .	<b>18b</b>		
c	28% rate gain . . . . .	<b>18c</b>		
<b>19</b>	<b>Total net gain or (loss).</b> Combine lines 17 and 18a. . . . . ▶	<b>19</b>		56,397,965.

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **don't** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

<b>Part IV Capital Loss Limitation</b>		20
<b>20</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4c, if a trust), the <b>smaller</b> of: a The loss on line 19, column (3) or b \$3,000 . . . . .	( )

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 23 (or Form 990-T, Part I, line 11), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 23, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2), or line 18c, col. (2), is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g, are more than zero, or
- There are amounts on lines 4e and 4g of Form 4952.

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, Part I, line 11, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

<b>21</b>	Enter taxable income from Form 1041, line 23 (or Form 990-T, Part I, line 11)	<b>21</b>	
<b>22</b>	Enter the <b>smaller</b> of line 18a or 19 in column (2) but not less than zero. . . . .	<b>22</b>	
<b>23</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	<b>23</b>	
<b>24</b>	Add lines 22 and 23 . . . . .	<b>24</b>	
<b>25</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	<b>25</b>	
<b>26</b>	Subtract line 25 from line 24. If zero or less, enter -0- . . . . .	<b>26</b>	
<b>27</b>	Subtract line 26 from line 21. If zero or less, enter -0- . . . . .	<b>27</b>	
<b>28</b>	Enter the <b>smaller</b> of the amount on line 21 or \$2,700 . . . . .	<b>28</b>	
<b>29</b>	Enter the <b>smaller</b> of the amount on line 27 or line 28 . . . . .	<b>29</b>	
<b>30</b>	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶	<b>30</b>	
<b>31</b>	Enter the <b>smaller</b> of line 21 or line 26 . . . . .	<b>31</b>	
<b>32</b>	Subtract line 30 from line 26 . . . . .	<b>32</b>	
<b>33</b>	Enter the <b>smaller</b> of line 21 or \$13,250 . . . . .	<b>33</b>	
<b>34</b>	Add lines 27 and 30 . . . . .	<b>34</b>	
<b>35</b>	Subtract line 34 from line 33. If zero or less, enter -0- . . . . .	<b>35</b>	
<b>36</b>	Enter the <b>smaller</b> of line 32 or line 35 . . . . .	<b>36</b>	
<b>37</b>	Multiply line 36 by 15% (0.15) . . . . . ▶	<b>37</b>	
<b>38</b>	Enter the amount from line 31 . . . . .	<b>38</b>	
<b>39</b>	Add lines 30 and 36 . . . . .	<b>39</b>	
<b>40</b>	Subtract line 39 from line 38. If zero or less, enter -0- . . . . .	<b>40</b>	
<b>41</b>	Multiply line 40 by 20% (0.20) . . . . . ▶	<b>41</b>	
<b>42</b>	Figure the tax on the amount on line 27. Use the 2021 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>42</b>	
<b>43</b>	Add lines 37, 41, and 42 . . . . .	<b>43</b>	
<b>44</b>	Figure the tax on the amount on line 21. Use the 2021 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>44</b>	
<b>45</b>	<b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 43 or line 44 here and on Form 1041, Schedule G, Part I, line 1a (or Form 990-T, Part II, line 2). . . . . ▶	<b>45</b>	

Department of the Treasury  
Internal Revenue Service

► Go to [www.irs.gov/Form8949](http://www.irs.gov/Form8949) for instructions and the latest information.

**2021**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment  
Sequence No. **12A**

Name(s) shown on return

Social security number or taxpayer identification number

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

**Part I Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

**Note:** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- (C)** Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) <b>Gain or (loss).</b> Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	NORTHERN TRUST - 26-49521 - SEE ATTACHED	07/01/2021	12/31/2021	33,260,480.00	30,611,690.00			2,648,790.00
	NORTHERN TRUST - 26-49522 - ATTACHED	07/01/2021	12/31/2021	9,088,549.00	8,492,766.00			595,783.00
	MORGAN STANLEY - SEE ATTACHED	07/01/2021	12/31/2021	28,068,823.00	23,687,935.00			4,380,888.00
<b>2 Totals.</b>	Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, <b>line 1b</b> (if <b>Box A</b> above is checked), <b>line 2</b> (if <b>Box B</b> above is checked), or <b>line 3</b> (if <b>Box C</b> above is checked) ►			70,417,852.	62,792,391.			7,625,461.

**Note:** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Name(s) shown on return. Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

**Part II Long-Term.** Transactions involving capital assets you held more than 1 year are generally long-term (see instructions). For short-term transactions, see page 1.

**Note:** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 8a; you aren't required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (E)** Long-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- (F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	NORTHERN TRUST - 26-49521 - ATTACHED	01/01/2020	12/31/2021	62,062,639.00	49,820,197.00			12,242,442.00
	NORTHERN TRUST - 26-49521 - ATTACHED	01/01/2020	12/31/2021	4,447,806.00	3,921,553.00			526,253.00
	NORTHERN TRUST - 26-49522 - ATTACHED	01/01/2020	12/31/2021	18,018,477.00	13,459,423.00			4,559,054.00
	NORTHERN TRUST - 26-49522 ATTACHED	01/01/2020	12/31/2021	1,287,717.00	1,139,864.00			147,853.00
	MORGAN STANLEY - SEE ATTACHED	01/01/2020	12/31/2021	7,666,872.00	5,386,087.00			2,280,785.00
<b>2 Totals.</b>	Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶			93,483,511.	73,727,124.			19,756,387.

**Note:** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Department of the Treasury  
Internal Revenue Service

▶ Go to [www.irs.gov/Form8949](http://www.irs.gov/Form8949) for instructions and the latest information.

**2021**  
Attachment  
Sequence No. **12A**

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

**Part I Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

**Note:** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). <b>See the separate instructions.</b>		(h) <b>Gain or (loss).</b> Subtract column (e) from column (d) and combine the result with column (g)	
						(f) Code(s) from instructions	(g) Amount of adjustment		
	MORGAN STANLEY - STELLANTIS	03/21/2021	04/16/2021	46,581.00	46,581.00				
	MORGAN STANLEY - CREDIT SUISSE GRP	05/12/2021	11/22/2021	9.00	6.00			3.00	
<b>2 Totals.</b>	Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, <b>line 1b</b> (if <b>Box A</b> above is checked), <b>line 2</b> (if <b>Box B</b> above is checked), or <b>line 3</b> (if <b>Box C</b> above is checked) ▶				46,590.	46,587.			3.

**Note:** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**



# 2021

Description of Property															
<b>DEPRECIATION</b>															
Asset description	Date placed in service	Unadjusted Cost or basis	Bus. %	179 exp. reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Method	Conv.	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
LAND	11/08/2017	5,000,000.	100.000												
OFFICE BUILDING	08/01/2018	11747892.	100.000			11747892.	715,564.	1,016,780.	SL	MM			39		301,216.
BUILDING IMPROVEME	08/01/2018	1,290,526.	100.000			1,290,526.	78,606.	111,695.	SL	MM			39		33,089.
OFFICE EQUIPMENT	08/01/2018	156,375.	100.000			156,375.	102,410.	120,424.	200DB	HY			5		18,014.
BUILDING IMPROVEME	07/01/2019	501,726.	100.000			501,726.	18,769.	31,633.	SL	MM			39		12,864.
Less: Retired Assets . . . . .															
<b>Subtotals . . . . .</b>		18696519.				13696519.	915,349.	1,280,532.							365,183.
Listed Property															
Less: Retired Assets . . . . .															
<b>Subtotals . . . . .</b>															
<b>TOTALS . . . . .</b>		18696519.				13696519.	915,349.	1,280,532.							365,183.
<b>AMORTIZATION</b>															
Asset description	Date placed in service	Cost or basis					Accumulated amortization	Ending Accumulated amortization	Code	Life					Current-year amortization
<b>TOTALS . . . . .</b>															

\*Assets Retired  
 JSA  
 1X9024 1.000

## U.S. Shareholder Calculation of Global Intangible Low-Taxed Income (GILTI)

▶ Go to [www.irs.gov/Form8992](http://www.irs.gov/Form8992) for instructions and the latest information.

Name of person filing this return <b>WYSS FOUNDATION</b>	A Identifying number <b>25-1823874</b>
Name of U.S. shareholder <b>WYSS FOUNDATION</b>	B Identifying number <b>25-1823874</b>

### Part I Net Controlled Foreign Corporation (CFC) Tested Income

<b>1</b> Sum of Pro Rata Share of Net Tested Income			
If the U.S. shareholder is not a member of a U.S. consolidated group, enter the total from Schedule A (Form 8992), line 1, column (e).	}	. . . . .	<b>1</b> 308,806
If the U.S. shareholder is a member of a U.S. consolidated group, enter the amount from Schedule B (Form 8992), Part II, column (c), that pertains to the U.S. shareholder.			
<b>2</b> Sum of Pro Rata Share of Net Tested Loss			
If the U.S. shareholder is not a member of a U.S. consolidated group, enter the total from Schedule A (Form 8992), line 1, column (f).	}	. . . . .	<b>2</b> ( 10,860 )
If the U.S. shareholder is a member of a U.S. consolidated group, enter the amount from Schedule B (Form 8992), Part II, column (f), that pertains to the U.S. shareholder.			
<b>3</b> Net CFC Tested Income. Combine lines 1 and 2. If zero or less, stop here . . . . .			<b>3</b> 297,946

### Part II Calculation of Global Intangible Low-Taxed Income (GILTI)

<b>1</b> Net CFC Tested Income. Enter amount from Part I, line 3 . . . . .			
<b>2</b> Deemed Tangible Income Return (DTIR)			
If the U.S. shareholder is not a member of a U.S. consolidated group, multiply the total from Schedule A (Form 8992), line 1, column (g), by 10% (0.10).	}	. . . . .	<b>2</b>
If the U.S. shareholder is a member of a U.S. consolidated group, enter the amount from Schedule B (Form 8992), Part II, column (i), that pertains to the U.S. shareholder.			
<b>3a</b> Sum of Pro Rata Share of Tested Interest Expense			
If the U.S. shareholder is not a member of a U.S. consolidated group, enter the total from Schedule A (Form 8992), line 1, column (j).	}	. . . . .	<b>3a</b>
If the U.S. shareholder is a member of a U.S. consolidated group, leave line 3a blank.			
<b>b</b> Sum of Pro Rata Share of Tested Interest Income			
If the U.S. shareholder is not a member of a U.S. consolidated group, enter the total from Schedule A (Form 8992), line 1, column (i).	}	. . . . .	<b>3b</b>
If the U.S. shareholder is a member of a U.S. consolidated group, leave line 3b blank.			
<b>c</b> Specified Interest Expense			
If the U.S. shareholder is not a member of a U.S. consolidated group, subtract line 3b from line 3a. If zero or less, enter -0-.	}	. . . . .	<b>3c</b>
If the U.S. shareholder is a member of a U.S. consolidated group, enter the amount from Schedule B (Form 8992), Part II, column (m), that pertains to the U.S. shareholder.			
<b>4</b> Net DTIR. Subtract line 3c from line 2. If zero or less, enter -0- . . . . .			<b>4</b> 0
<b>5</b> GILTI. Subtract line 4 from line 1 . . . . .			<b>5</b> 297,946

For Paperwork Reduction Act Notice, see separate instructions.

**SCHEDULE A  
(Form 8992)**

(December 2021)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Controlled Foreign Corporation (CFC) Information To Compute  
Global Intangible Low-Taxed Income (GILTI)**

► Go to [www.irs.gov/Form 8992](http://www.irs.gov/Form 8992) for instructions and the latest information.

OMB No. 1545-0123

Attachment  
Sequence No. **992A**

Name of person filing this schedule <b>WYSS FOUNDATION</b>	<b>A</b> Identifying number 25-1823874
Name of U.S. shareholder <b>WYSS FOUNDATION</b>	<b>B</b> Identifying number 25-1823874

(a) Name of CFC	(b) EIN or Reference ID	Calculations for Net Tested Income (see instructions)								GILTI Allocated to Tested Income CFCs (see instructions)	
		(c) Tested Income	(d) Tested Loss	(e) Pro Rata Share of Tested Income	(f) Pro Rata Share of Tested Loss	(g) Pro Rata Share of Qualified Business Asset Investment (QBAI)	(h) Pro Rata Share of Tested Loss QBAI Amount	(i) Pro Rata Share of Tested Interest Income	(j) Pro Rata Share of Tested Interest Expense	(k) GILTI Allocation Ratio (Divide Col. (e) by Col. (e), Line 1 Total)	(l) GILTI Allocated to Tested Income CFCs (Multiply Form 8992, Part II, Line 5, by Col. (k))
BVP-III CAYMAN V LIMITED	A-	3,927	( )	577	( )	NONE	( NONE )	NONE	NONE	0.0019	566
BVP-III CAYMAN XVIII LIMITED	B-	11,362	( )	1,793	( )	NONE	( NONE )	NONE	NONE	0.0058	1,728
BVP-III CAYMAN XVI LIMITED	C-	35,143	( )	5,493	( )	NONE	( NONE )	NONE	NONE	0.0178	5,303
BVP-III CAYMAN XV LIMITED	D-		( 66,592 )		( 10,233 )	NONE	( NONE )	948	NONE	0.0000	0
BVP-III CAYMAN XIV LIMITED	E-	337,419	( )	50,215	( )	NONE	( NONE )	NONE	NONE	0.1626	48,446
BVP-III CAYMAN XIII LIMITED	F-	430,850	( )	63,960	( )	NONE	( NONE )	NONE	NONE	0.2071	61,705
BVP-III CAYMAN XII LIMITED	G-		( 4,260 )		( 627 )	NONE	( NONE )	NONE	NONE	0.0000	0
BVP-III CAYMAN VIII LIMITED	H-	1,266,886	( )	186,768	( )	NONE	( NONE )	151	NONE	0.6048	180,198
			( )		( )		( )				
			( )		( )		( )				
<b>1. Totals (see instructions)</b>		<b>2,085,587</b>	<b>( 70,852 )</b>	<b>308,806</b>	<b>( 10,860 )</b>	<b>0</b>	<b>( 0 )</b>	<b>1,099</b>	<b>0</b>	<b>1</b>	<b>297,946</b>

**Totals on line 1 should include the totals from any continuation sheets.**

For Paperwork Reduction Act Notice, see Instructions for Form 8992.

Schedule A (Form 8992) (12-2021)

- |                                  |                                 |
|----------------------------------|---------------------------------|
| A - BVP-III CAYMAN V LIMITED     | F - BVP-III CAYMAN XIII LIMITED |
| B - BVP-III CAYMAN XVIII LIMITED | G - BVP-III CAYMAN XII LIMITED  |
| C - BVP-III CAYMAN XVI LIMITED   | H - BVP-III CAYMAN VIII LIMITED |
| D - BVP-III CAYMAN XV LIMITED    |                                 |
| E - BVP-III CAYMAN XIV LIMITED   |                                 |

**Form 990-PF Disclosure**

**WYSS FOUNDATION**

E.I.N. #25-1823874

ATTACHMENT TO FORM 990-PF

RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Foundation Beyeler, Luftgaesslein 4, CH-4051 Basel, Switzerland

**(2) Amount Paid in Current Tax Year:** \$4,904,190

**(3) Total Paid:** \$4,904,190

**(4) Purpose:** Expansion Building of the Beyeler Museum

**(5) Amount of Grant Spent by Grantee: \$0.00**

**(6) Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

**(7) Date of Report(s) Received from Grantee: 9/23/2022**

**(8) Verification:**

Wyss Foundation reviewed the Grant Report dated 9/23/2022 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

**Form 990-PF Disclosure**

**WYSS FOUNDATION**

E.I.N. #25-1823874

ATTACHMENT TO FORM 990-PF

RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Peacewomen Across the Globe, Bollwerk 39, 3011 Bern, Switzerland

**(2) Amount Paid in Current Tax Year:** \$446,480

**(3) Total Paid:** \$859,042

**(4) Purpose:** Strengthening Women's Empowerment and Effective Participation in Peace Processes

**(5) Amount of Grant Spent by Grantee:** \$859,042

**(6) Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

**(7) Date of Report(s) Received from Grantee:** 3/30/2022

**(8) Verification:**

Wyss Foundation reviewed the Grant Report dated 3/30/2022 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

**Form 990-PF Disclosure**

**WYSS FOUNDATION**

E.I.N. #25-1823874

ATTACHMENT TO FORM 990-PF

RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** South Endeavour Trust, P.O. Box 2390, Bondi Junction, NSW 1355 Australia

**(2) Amount Paid in Current Tax Year: \$2,949,880**

**(3) Total Paid:** \$2,949,880

**(4) Purpose:** Cape York Property Acquisition – Mt. Gibson, Australia, for environmental conservation in Australia

**(5) Amount of Grant Spent by Grantee:** \$2,949,880

**(6) Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

**(7) Date of Report(s) Received from Grantee:** 2/3/2022

**(8) Verification:**

Wyss Foundation reviewed the Grant Report dated 2/3/2022 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

**Form 990-PF Disclosure**

**WYSS FOUNDATION**

E.I.N. #25-1823874

ATTACHMENT TO FORM 990-PF

RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

**INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS**

**(1) Grantee:** Wyss Center for Biological and Neurological Engineering  
Chemin des Mines 9  
Geneva, Switzerland 1202

**(2) Amount Paid in Current Tax Year:** \$18,522,175

**(3) Total Paid:** \$18,522,175

**(4) Purpose:** Advance the understanding of the brain to develop brain diagnostics and therapies to improve lives.

**(5) Amount of Grant Spent by Grantee:** \$13,602,171

**(6) Diversion:** To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.

**(7) Date of Report(s) Received from Grantee:** 8/22/2022

**(8) Verification:**

Wyss Foundation reviewed the Grant Report dated 8/22/2022 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

### 2021 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
<b>Short Term Sales</b>										
20684.0	921943858	VANGUARD FTSE DEVELOPED MARKETS ETF								
01/06/2021	01/08/2020			1,005,242.93	912,991.76			92,251.17	0.00	0.00
11134.0	464287804	MFC ISHARES TR S&P SMALLCAP 600 INDEX FD S&PSMALLCAP 600 INDEX FD								
01/14/2021	08/05/2020			1,126,958.57	821,020.05			305,938.52	0.00	0.00
4988.0	46090E103	MFC INVESCO QQQ TRUST UNIT SER 1								
03/01/2021	12/02/2020			1,591,784.89	1,515,055.12			76,729.77	0.00	0.00
40080.0	81369Y886	MFC SELECT SECTOR SPDR TR UTILS								
03/30/2021	09/02/2020			2,551,992.80	2,414,415.19			137,577.61	0.00	0.00
43893.0	922042858	MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF								
03/30/2021	12/02/2020			2,262,277.58	2,129,499.35			132,778.23	0.00	0.00
72268.0	46138E354	MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF								
05/26/2021	10/06/2020			4,399,985.84	3,922,519.14			477,466.70	0.00	0.00
72307.0	46435U853	MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF								
05/26/2021	10/06/2020			2,984,000.68	2,874,044.17			109,956.51	0.00	0.00
11997.0	81369Y605	MFC SELECT SECTOR SPDR TR FINL								
05/26/2021	03/01/2021			450,425.07	399,302.15			51,122.92	0.00	0.00
104763.0	81369Y605	MFC SELECT SECTOR SPDR TR FINL								
06/29/2021	03/01/2021			3,840,874.85	3,486,879.31			353,995.54	0.00	0.00

**This is important tax information and is being furnished to you.**



# 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:**

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property											
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
52734.0	81369Y605	MFC SELECT SECTOR SPDR TR FINL									
07/29/2021	Various			1,937,136.70	1,656,022.70			281,114.00	0.00	0.00	
30595.0	922042858	MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF									
07/29/2021	Various			1,571,929.43	1,432,092.77			139,836.66	0.00	0.00	
80042.0	46431W853	MFC ISHARES GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF									
08/25/2021	03/01/2021			2,706,078.15	2,427,641.84			278,436.31	0.00	0.00	
99725.0	92189H300	VANECK VECTORS ETF TRUST JP MORGAN EMRG MKTS LCL CURNY BD									
08/25/2021	06/29/2021		ETF(R/S)	3,053,165.03	3,136,923.98			-83,758.95	0.00	0.00	
3313.0	464288281	MFC ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF									
12/21/2021	01/14/2021			357,036.88	376,950.82			-19,913.94	0.00	0.00	
54030.0	81369Y605	MFC SELECT SECTOR SPDR TR FINL									
12/21/2021	02/03/2021			2,065,021.47	1,628,085.99			436,935.48	0.00	0.00	
17799.0	81369Y852	SELECT SECTOR SPDR TR COMMUNICATION SVCSSELECT SECTOR SPDR									
12/21/2021	07/29/2021		FD	1,356,568.78	1,478,246.11			-121,677.33	0.00	0.00	
<b>Total Short Term Sales</b>				<b>33,260,479.65</b>	<b>30,611,690.45</b>	<b>0.00</b>	<b>0.00</b>	<b>2,648,789.20</b>	<b>0.00</b>	<b>0.00</b>	

**Long Term Sales**

This is important tax information and is being furnished to you.

## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

Corrected     FATCA     2nd TIN notice

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
90823.0	46138E354	MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF								
01/06/2021	Various			5,085,884.78	2,775,308.06			2,310,576.72	0.00	0.00
13817.0	921943858	VANGUARD FTSE DEVELOPED MARKETS ETF								
01/06/2021	11/16/2016			671,506.56	493,435.46			178,071.10	0.00	0.00
7109.0	92206C870	MFC VANGUARD SCOTTSDALE FDS VANGUARD INTERMEDIATE-TERM CORPORATE BOND INDEX								
01/06/2021	02/04/2019			685,718.99	600,842.73			84,876.26	0.00	0.00
6325.0	464287655	MFC ISHARES TR RUSSELL 2000 INDEX FD								
01/14/2021	11/16/2016			1,352,634.61	817,664.38			534,970.23	0.00	0.00
828.0	78462F103	MFC SPDR TR UNIT SER 1 STD & POORS UNIT SER 1								
01/14/2021	05/06/2019			315,212.63	241,158.89			74,053.74	0.00	0.00
2618.0	921908844	MFC VANGUARD SPECIALIZED FUNDS S&P U S DIVID GROWERS INDEX ETF SHS								
01/14/2021	08/02/2018			372,166.65	276,286.97			95,879.68	0.00	0.00
3862.0	922908769	MFC VANGUARD INDEX TR VANGUARD TOTAL STKMKT VIPERS								
01/14/2021	01/08/2020			771,225.13	638,195.50			133,029.63	0.00	0.00
54225.0	46435U853	MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF								
02/03/2021	08/05/2019			2,236,298.03	2,188,439.66			47,858.37	0.00	0.00
27007.0	46090E103	MFC INVESCO QQQ TRUST UNIT SER 1								
03/01/2021	Various			8,618,551.42	5,102,639.92			3,515,911.50	0.00	0.00

**This is important tax information and is being furnished to you.**

## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property											
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
105951.0	46138G870	INVESCO EXCHANGE-TRADED FD TR II VAR RATE PFD ETF									
03/01/2021	11/06/2019			2,698,102.62	2,725,059.72			-26,957.10	0.00	0.00	
14933.0	464288588	MFC ISHARES MBS ETF									
03/01/2021	10/02/2019			1,629,480.65	1,613,202.27			16,278.38	0.00	0.00	
175137.0	922042858	MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF									
03/30/2021	03/05/2019			9,026,690.08	7,413,963.58			1,612,726.50	0.00	0.00	
691.0	46138E354	MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF									
05/26/2021	06/20/2013			42,071.04	20,944.07			21,126.97	0.00	0.00	
21296.0	46435U853	MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF									
05/26/2021	08/05/2019			878,853.75	859,474.62			19,379.13	0.00	0.00	
2145.0	78462F103	MFC SPDR TR UNIT SER 1 STD & POORS UNIT SER 1									
05/26/2021	05/06/2019			898,310.70	624,741.33			273,569.37	0.00	0.00	
35696.0	81369Y308	MFC SELECT SECTOR SPDR TR CNSMR STAPLES STAPLES									
05/26/2021	10/31/2018			2,540,539.18	1,977,130.05			563,409.13	0.00	0.00	
19565.0	464288588	MFC ISHARES MBS ETF									
06/29/2021	10/02/2019			2,117,352.63	2,113,594.21			3,758.42	0.00	0.00	
98622.0	921943858	VANGUARD FTSE DEVELOPED MARKETS ETF									
07/29/2021	Various			5,145,428.68	4,349,499.05			795,929.63	0.00	0.00	

**This is important tax information and is being furnished to you.**

## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
67476.0	46431W853	MFC ISHARES GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF								
08/25/2021	08/05/2019			2,281,243.96	2,106,823.39			174,420.57	0.00	0.00
77191.0	922042858	MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF								
09/29/2021	Various			3,846,562.29	3,433,920.59			412,641.70	0.00	0.00
29358.0	92206C870	MFC VANGUARD SCOTTSDALE FDS VANGUARD INTERMEDIATE-TERM CORPORATE BOND INDEX								
09/29/2021	03/03/2020			2,776,533.37	2,783,138.40			-6,605.03	0.00	0.00
13329.0	81369Y209	MFC SECTOR SPDR TR SHS BEN INT-HLTH CARECARE								
10/27/2021	05/05/2020			1,757,169.10	1,335,787.06			421,382.04	0.00	0.00
14248.0	922908769	MFC VANGUARD INDEX TR VANGUARD TOTAL STKMKT VIPERS								
10/27/2021	01/08/2020			3,354,164.41	2,354,482.00			999,682.41	0.00	0.00
27475.0	464288281	MFC ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF								
12/21/2021	02/04/2019			2,960,938.17	2,974,465.48			-13,527.31	0.00	0.00
<b>Total Long Term Sales</b>				<b>62,062,639.43</b>	<b>49,820,197.39</b>	<b>0.00</b>	<b>0.00</b>	<b>12,242,442.04</b>	<b>0.00</b>	<b>0.00</b>
<b>Long Term 28% Sales</b>										
277258.0	464285105	#REORG/ISHARES GOLD TRUST REVERSE SPLIT ISHARES GOLD TR 0002R15AK3 05-24-2021								
03/30/2021	Various			4,447,805.61	3,921,553.14			526,252.47	0.00	0.00
<b>Total Long Term 28% Sales</b>				<b>4,447,805.61</b>	<b>3,921,553.14</b>	<b>0.00</b>	<b>0.00</b>	<b>526,252.47</b>	<b>0.00</b>	<b>0.00</b>

This is important tax information and is being furnished to you.

## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:**      **XX-XXX3874**

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

### 2021 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
<b>Short Term Sales</b>										
668.0	46138E354	MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF								
01/06/2021	10/06/2020			37,406.51	36,257.30			1,149.21	0.00	0.00
2702.0	464287804	MFC ISHARES TR S&P SMALLCAP 600 INDEX FD S&PSMALLCAP 600 INDEX FD								
01/14/2021	08/05/2020			273,490.40	199,245.21			74,245.19	0.00	0.00
18535.0	46435U853	MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF								
02/03/2021	10/06/2020			764,403.58	736,725.47			27,678.11	0.00	0.00
940.0	46090E103	MFC INVESCO QQQ TRUST UNIT SER 1								
03/01/2021	12/02/2020			299,975.50	285,515.60			14,459.90	0.00	0.00
8741.0	464288588	MFC ISHARES MBS ETF								
03/01/2021	Various			953,813.06	965,091.18			-11,278.12	0.00	0.00
8205.0	81369Y886	MFC SELECT SECTOR SPDR TR UTILS								
03/30/2021	09/02/2020			522,432.66	494,268.38			28,164.28	0.00	0.00
3469.0	922042858	MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF								
03/30/2021	12/02/2020			178,794.82	168,300.94			10,493.88	0.00	0.00
12016.0	46138E354	MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF								
05/26/2021	10/06/2020			731,585.62	652,197.24			79,388.38	0.00	0.00
45614.0	81369Y605	MFC SELECT SECTOR SPDR TR FINL								
06/29/2021	03/01/2021			1,672,323.87	1,518,193.57			154,130.30	0.00	0.00

**This is important tax information and is being furnished to you.**

# 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

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Description of property											
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
14296.0	81369Y605	MFC SELECT SECTOR SPDR TR FINL									
07/29/2021	Various			525,150.87	440,447.10			84,703.77	0.00	0.00	
15703.0	922042858	MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF									
07/29/2021	Various			806,798.76	751,229.65			55,569.11	0.00	0.00	
11552.0	46431W853	MFC ISHARES GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF									
08/25/2021	03/01/2021			390,552.64	350,367.54			40,185.10	0.00	0.00	
5074.0	92206C870	MFC VANGUARD SCOTTSDALE FDS VANGUARD INTERMEDIATE-TERM CORPORATE BOND INDEX									
09/29/2021	02/03/2021			479,873.64	487,609.37			-7,735.73	0.00	0.00	
1681.0	464288281	MFC ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF									
12/21/2021	01/14/2021			181,158.76	191,263.00			-10,104.24	0.00	0.00	
14652.0	81369Y605	MFC SELECT SECTOR SPDR TR FINL									
12/21/2021	02/03/2021			559,998.05	441,508.72			118,489.33	0.00	0.00	
9326.0	81369Y852	SELECT SECTOR SPDR TR COMMUNICATION SVCSSELECT SECTOR SPDR									
12/21/2021	07/29/2021		FD	710,790.52	774,544.81			-63,754.29	0.00	0.00	
<b>Total Short Term Sales</b>				<b>9,088,549.26</b>	<b>8,492,765.08</b>	<b>0.00</b>	<b>0.00</b>	<b>595,784.18</b>	<b>0.00</b>	<b>0.00</b>	

**Long Term Sales**

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** **XX-XXX3874**

Corrected     FATCA     2nd TIN notice

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WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Sales are listed at Gross Proceeds less commissions and option premiums.  
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Description of property											
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
30608.0	46138E354	MFC INVESCO EXCHANGE TRADED FD TR II S&P 500 LOW VOLATILITY ETF									
01/06/2021	Various			1,713,979.50	938,740.39			775,239.11	0.00	0.00	
19387.0	921943858	VANGUARD FTSE DEVELOPED MARKETS ETF									
01/06/2021	Various			942,208.71	681,885.41			260,323.30	0.00	0.00	
1559.0	464287655	MFC ISHARES TR RUSSELL 2000 INDEX FD									
01/14/2021	11/16/2016			333,400.37	201,539.73			131,860.64	0.00	0.00	
120.0	78462F103	MFC SPDR TR UNIT SER 1 STD & POORS UNIT SER 1									
01/14/2021	05/06/2019			45,682.99	34,950.56			10,732.43	0.00	0.00	
3.0	921908844	MFC VANGUARD SPECIALIZED FUNDS S&P U S DIVID GROWERS INDEX ETF SHS									
01/14/2021	04/04/2018			426.47	299.58			126.89	0.00	0.00	
1686.0	922908769	MFC VANGUARD INDEX TR VANGUARD TOTAL STKMKT VIPERS									
01/14/2021	11/16/2016			336,687.10	189,300.71			147,386.39	0.00	0.00	
9971.0	46435U853	MFC ISHARES TR BROAD USD HIGH YIELD CORPORATE BD ETF									
02/03/2021	08/05/2019			411,214.89	402,414.61			8,800.28	0.00	0.00	
8398.0	46090E103	MFC INVESCO QQQ TRUST UNIT SER 1									
03/01/2021	Various			2,679,993.88	1,606,270.17			1,073,723.71	0.00	0.00	
23255.0	46138G870	INVESCO EXCHANGE-TRADED FD TR II VAR RATE PFD ETF									
03/01/2021	11/06/2019			592,201.83	598,118.60			-5,916.77	0.00	0.00	

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** XX-XXX3874

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

Corrected     FATCA     2nd TIN notice

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Description of property											
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
55438.0	922042858	MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF									
03/30/2021	03/05/2019			2,857,315.39	2,346,821.71			510,493.68	0.00	0.00	
16629.0	81369Y308	MFC SELECT SECTOR SPDR TR CNSMR STAPLES STAPLES									
05/26/2021	10/31/2018			1,183,511.49	921,047.05			262,464.44	0.00	0.00	
9136.0	921908844	MFC VANGUARD SPECIALIZED FUNDS S&P U S DIVID GROWERS INDEX ETF SHS									
05/26/2021	04/04/2018			1,415,500.87	912,306.34			503,194.53	0.00	0.00	
26380.0	921943858	VANGUARD FTSE DEVELOPED MARKETS ETF									
07/29/2021	01/08/2020			1,376,329.91	1,164,413.20			211,916.71	0.00	0.00	
25608.0	46431W853	MFC ISHARES GSCI COMMODITY DYNAMIC ROLL STRATEGY ETF									
08/25/2021	08/05/2019			865,761.09	799,566.27			66,194.82	0.00	0.00	
20902.0	922042858	MFC VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF									
09/29/2021	Various			1,041,583.15	930,178.41			111,404.74	0.00	0.00	
4174.0	81369Y209	MFC SECTOR SPDR TR SHS BEN INT-HLTH CARECARE									
10/27/2021	05/05/2020			550,260.62	418,304.09			131,956.53	0.00	0.00	
2953.0	922908769	MFC VANGUARD INDEX TR VANGUARD TOTAL STKMKT VIPERS									
10/27/2021	11/16/2016			695,174.58	331,556.93			363,617.65	0.00	0.00	
9068.0	464288281	MFC ISHARES TR JPMORGAN USD EMERGING MKTS BD ETF									
12/21/2021	02/04/2019			977,244.31	981,708.94			-4,464.63	0.00	0.00	

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## 2021 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

**Account Number:**  
**Recipient's Tax ID Number:** **XX-XXX3874**

Corrected     FATCA     2nd TIN notice

**Recipient's Name and Address:**  
WYSS FOUNDATION  
138 MT AUBURN STREE  
ANDREW STEVENSON  
CAMBRIDGE, MA 02138-5749

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Description of property										
Date Sold or Disposed	Date Acquired	Ordinary	QOF	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
<b>Total Long Term Sales</b>				<b>18,018,477.15</b>	<b>13,459,422.70</b>	<b>0.00</b>	<b>0.00</b>	<b>4,559,054.45</b>	<b>0.00</b>	<b>0.00</b>
<b>Long Term 28% Sales</b>										
80271.0	464285105	#REORG/ISHARES GOLD TRUST REVERSE SPLIT ISHARES GOLD TR 0002R15AK3 05-24-2021			1,287,716.87	1,139,863.58		147,853.29	0.00	0.00
03/30/2021	Various									
<b>Total Long Term 28% Sales</b>				<b>1,287,716.87</b>	<b>1,139,863.58</b>	<b>0.00</b>	<b>0.00</b>	<b>147,853.29</b>	<b>0.00</b>	<b>0.00</b>

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WYSS FOUNDATION  
WYSS FOUNDATION  
138 MT AUBURN STREET  
CAMBRIDGE MA 02138-5749

**Customer Service: 866-324-6088**

**This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ANGLO AMERN PLC SPONSORED ADR									
	25,317.000	04/06/21	07/06/21	\$1,991.69	\$2,164.06	\$0.00	\$0.00	(\$172.37)	\$0.00
ANTA SPORTS PRODS LTD ADR									
	1,671.000	03/25/20	01/29/21	\$694,882.19	\$311,003.34	\$0.00	\$0.00	\$383,878.85	\$0.00
	704.000	07/28/21	11/05/21	\$282,672.44	\$379,640.59	\$0.00	\$0.00	(\$96,968.15)	\$0.00
	16.000	08/23/21	11/05/21	\$6,424.37	\$8,678.21	\$0.00	\$0.00	(\$2,253.84)	\$0.00
<b>Security Subtotal</b>	<b>2,391.000</b>			<b>\$983,979.00</b>	<b>\$699,322.14</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$284,656.86</b>	<b>\$0.00</b>
ARTISAN PARTNERS ASSET MGMT									
	7,536.000	02/02/21	07/28/21	\$357,403.52	\$379,619.97	\$0.00	\$0.00	(\$22,216.45)	\$0.00
ATLAS COPCO AS A ADR A NEW									
	10,762.000	08/14/20	01/07/21	\$590,918.67	\$488,257.95	\$0.00	\$0.00	\$102,660.72	\$0.00
B & G FOODS INC									
	10,528.000	01/28/21	07/15/21	\$318,566.17	\$381,575.28	\$0.00	\$0.00	(\$63,009.11)	\$0.00
BANKUNITED INC									
	10,524.000	12/10/20	07/15/21	\$427,055.43	\$345,881.78	\$0.00	\$0.00	\$81,173.65	\$0.00
BARCLAYS PLC ADR									
	52,126.000	12/04/20	01/28/21	\$393,532.17	\$421,219.78	\$0.00	\$0.00	(\$27,687.61)	\$0.00
	50,057.000	12/04/20	02/02/21	\$379,658.93	\$404,500.61	\$0.00	\$0.00	(\$24,841.68)	\$0.00
<b>Security Subtotal</b>	<b>102,183.000</b>			<b>\$773,191.10</b>	<b>\$825,720.39</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$52,529.29)</b>	<b>\$0.00</b>

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>BRITISH AMER TOB SPON ADR</b>									
		CUSIP: 110448107		Symbol: BTI					
	13,891.000	06/09/20	03/02/21	\$499,505.31	\$544,204.93	\$0.00	\$0.00	(\$44,699.62)	\$0.00
	7,242.000	07/10/20	03/02/21	\$260,414.47	\$268,038.01	\$0.00	\$0.00	(\$7,623.54)	\$0.00
<b>Security Subtotal</b>	<b>21,133.000</b>			<b>\$759,919.78</b>	<b>\$812,242.94</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$52,323.16)</b>	<b>\$0.00</b>
<b>CITIZENS FINANCIAL GROUP INC</b>									
		CUSIP: 174610105		Symbol: CFG					
	13,668.000	11/17/20	11/05/21	\$654,179.94	\$449,306.80	\$0.00	\$0.00	\$204,873.14	\$0.00
	6,434.000	12/10/20	11/05/21	\$307,945.11	\$231,764.90	\$0.00	\$0.00	\$76,180.21	\$0.00
<b>Security Subtotal</b>	<b>20,102.000</b>			<b>\$962,125.05</b>	<b>\$681,071.70</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$281,053.35</b>	<b>\$0.00</b>
<b>COMPUTERSHARE LTD SPN ADR</b>									
		CUSIP: 20557R105		Symbol: CMSQY					
	40,955.000	06/10/20	02/08/21	\$457,277.04	\$402,305.06	\$0.00	\$0.00	\$54,971.98	\$0.00
<b>CUMMINS INC</b>									
		CUSIP: 231021106		Symbol: CMI					
	1,308.000	09/10/20	04/06/21	\$342,124.36	\$271,009.10	\$0.00	\$0.00	\$71,115.26	\$0.00
	1,169.000	10/30/20	04/06/21	\$305,767.10	\$254,686.76	\$0.00	\$0.00	\$51,080.34	\$0.00
	3,214.000	10/30/20	04/21/21	\$845,783.89	\$700,225.18	\$0.00	\$0.00	\$145,558.71	\$0.00
<b>Security Subtotal</b>	<b>5,691.000</b>			<b>\$1,493,675.35</b>	<b>\$1,225,921.04</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$267,754.31</b>	<b>\$0.00</b>
<b>D R HORTON INC</b>									
		CUSIP: 23331A109		Symbol: DHI					
	7,764.000	05/27/20	03/02/21	\$610,532.22	\$452,324.43	\$0.00	\$0.00	\$158,207.79	\$0.00
	2,814.000	06/09/20	03/02/21	\$221,282.55	\$155,855.64	\$0.00	\$0.00	\$65,426.91	\$0.00
	2,174.000	10/30/20	03/02/21	\$170,955.31	\$145,527.56	\$0.00	\$0.00	\$25,427.75	\$0.00
<b>Security Subtotal</b>	<b>12,752.000</b>			<b>\$1,002,770.08</b>	<b>\$753,707.63</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$249,062.45</b>	<b>\$0.00</b>
<b>DAITO TR CONSTR CO LTD</b>									
		CUSIP: 23405X209		Symbol: DIFTY					
	3,480.000	01/29/21	07/16/21	\$105,586.49	\$90,893.42	\$0.00	\$0.00	\$14,693.07	\$0.00
<b>DIAMONDBACK ENERGY INC</b>									
		CUSIP: 25278X109		Symbol: FANG					
	4,213.000	11/17/20	04/06/21	\$322,080.51	\$156,028.46	\$0.00	\$0.00	\$166,052.05	\$0.00
	9,064.000	11/17/20	07/15/21	\$739,735.55	\$335,685.24	\$0.00	\$0.00	\$404,050.31	\$0.00
<b>Security Subtotal</b>	<b>13,277.000</b>			<b>\$1,061,816.06</b>	<b>\$491,713.70</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$570,102.36</b>	<b>\$0.00</b>
<b>DOMINION ENERGY INC</b>									
		CUSIP: 25746U109		Symbol: D					
	3,854.000	09/10/20	07/15/21	\$292,568.75	\$304,817.87	\$0.00	\$0.00	(\$12,249.12)	\$0.00
<b>ECOPETROL S A SPONSORED ADS</b>									
		CUSIP: 279158109		Symbol: EC					
	30,488.000	11/17/20	07/15/21	\$410,716.99	\$356,526.67	\$0.00	\$0.00	\$54,190.32	\$0.00
	10,834.000	12/10/20	07/15/21	\$145,949.49	\$146,464.85	\$0.00	\$0.00	(\$515.36)	\$0.00
<b>Security Subtotal</b>	<b>41,322.000</b>			<b>\$556,666.48</b>	<b>\$502,991.52</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$53,674.96</b>	<b>\$0.00</b>

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EVOLUTION AB ADR									
<b>Security Subtotal</b>	<b>10,249.000</b>			<b>\$1,593,439.73</b>	<b>\$1,071,216.08</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$522,223.65</b>	<b>\$0.00</b>
FERGUSON PLC									
	0.400	09/10/20	03/09/21	\$49.12	\$37.76	\$0.00	\$0.00	\$11.36	\$0.00
FORTESCUE METALS GROUP LTD ADR									
	14,555.000	02/08/21	11/05/21	\$306,710.13	\$530,494.82	\$0.00	\$0.00	(\$223,784.69)	\$0.00
FRANKLIN RESOURCES INC									
	25,533.000	03/02/21	07/15/21	\$768,608.32	\$691,808.98	\$0.00	\$0.00	\$76,799.34	\$0.00
HEXAGON AB ADR									
	7,269.000	08/14/20	02/08/21	\$635,556.78	\$493,201.65	\$0.00	\$0.00	\$142,355.13	\$0.00
JOHNSON & JOHNSON									
	2,756.000	07/15/21	11/05/21	\$450,113.69	\$464,496.52	\$0.00	\$0.00	(\$14,382.83)	\$0.00
JPMORGAN CHASE & CO									
	2,767.000	11/17/20	11/16/21	\$459,059.28	\$320,206.09	\$0.00	\$0.00	\$138,853.19	\$0.00
KLA CORPORATION									
	1,589.000	10/30/20	07/15/21	\$482,619.89	\$317,068.42	\$0.00	\$0.00	\$165,551.47	\$0.00
KOHL'S CORPORATION WISC									
	9,862.000	03/02/21	07/15/21	\$501,563.97	\$568,313.53	\$0.00	\$0.00	(\$66,749.56)	\$0.00
	4,608.000	03/09/21	07/15/21	\$234,354.77	\$264,103.37	\$0.00	\$0.00	(\$29,748.60)	\$0.00
<b>Security Subtotal</b>	<b>14,470.000</b>			<b>\$735,918.74</b>	<b>\$832,416.90</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$96,498.16)</b>	<b>\$0.00</b>
LAM RESEARCH CORPORATION									
	456.000	04/06/21	11/05/21	\$274,552.18	\$303,231.38	\$0.00	\$0.00	(\$28,679.20)	\$0.00
LEGGETT & PLATT INC									
	15,352.000	10/30/20	04/06/21	\$710,809.32	\$638,888.83	\$0.00	\$0.00	\$71,920.49	\$0.00
MMC NORILSK NICKL PJSC SPS ADR									
	17,536.000	07/10/20	04/06/21	\$527,399.52	\$455,936.00	\$0.00	\$0.00	\$71,463.52	\$0.00
	7,069.000	03/02/21	04/06/21	\$212,601.92	\$227,233.71	\$0.00	\$0.00	(\$14,631.79)	\$0.00
<b>Security Subtotal</b>	<b>24,605.000</b>			<b>\$740,001.44</b>	<b>\$683,169.71</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$56,831.73</b>	<b>\$0.00</b>

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NEXT GROUP PLC UNSPON ADR									
	11,475.000	01/07/21	08/20/21	\$618,166.57	\$607,133.07	\$0.00	\$0.00	\$11,033.50	\$0.00
NEXTERA ENERGY INC									
	3,532.000	05/11/20	03/09/21	\$259,205.44	\$199,837.82	\$0.00	\$0.00	\$59,367.62	\$0.00
	4,300.000	09/10/20	07/14/21	\$325,845.46	\$301,393.45	\$0.00	\$0.00	\$24,452.01	\$0.00
<b>Security Subtotal</b>	<b>7,832.000</b>			<b>\$585,050.90</b>	<b>\$501,231.27</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$83,819.63</b>	<b>\$0.00</b>
ONO PHARMACEUTICAL CO LTD ADR									
	36,087.000	05/11/20	02/08/21	\$355,304.75	\$295,119.49	\$0.00	\$0.00	\$60,185.26	\$0.00
	49,887.000	05/27/20	02/08/21	\$491,176.54	\$452,879.17	\$0.00	\$0.00	\$38,297.37	\$0.00
<b>Security Subtotal</b>	<b>85,974.000</b>			<b>\$846,481.29</b>	<b>\$747,998.66</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$98,482.63</b>	<b>\$0.00</b>
PERSIMMON PLC UNSPONS ADR									
	5,591.000	08/14/20	03/02/21	\$422,085.35	\$376,053.46	\$0.00	\$0.00	\$46,031.89	\$0.00
	2,048.000	08/14/20	07/15/21	\$167,561.59	\$137,749.50	\$0.00	\$0.00	\$29,812.09	\$0.00
	2,657.000	10/30/20	07/15/21	\$217,388.25	\$162,866.66	\$0.00	\$0.00	\$54,521.59	\$0.00
	1,659.000	12/04/20	07/15/21	\$135,734.71	\$131,112.93	\$0.00	\$0.00	\$4,621.78	\$0.00
	7,900.000	12/04/20	07/16/21	\$643,751.13	\$624,347.27	\$0.00	\$0.00	\$19,403.86	\$0.00
	488.000	12/14/20	07/16/21	\$39,265.89	\$34,286.88	\$0.00	\$0.00	\$5,479.01	\$0.00
<b>Security Subtotal</b>	<b>20,343.000</b>			<b>\$1,626,286.92</b>	<b>\$1,466,416.70</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$159,870.22</b>	<b>\$0.00</b>
QUALCOMM INC									
	3,080.000	10/30/20	03/09/21	\$391,053.90	\$379,461.85	\$0.00	\$0.00	\$11,592.05	\$0.00
	471.000	12/14/20	03/09/21	\$59,800.78	\$69,193.43	\$0.00	\$0.00	(\$9,392.65)	\$0.00
<b>Security Subtotal</b>	<b>3,551.000</b>			<b>\$450,854.68</b>	<b>\$448,655.28</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,199.40</b>	<b>\$0.00</b>
ROCHE HOLDINGS ADR									
	5,492.000	03/25/20	03/02/21	\$225,933.13	\$208,457.65	\$0.00	\$0.00	\$17,475.48	\$0.00
	1,178.000	07/10/20	03/02/21	\$48,461.26	\$52,102.94	\$0.00	\$0.00	(\$3,641.68)	\$0.00
<b>Security Subtotal</b>	<b>6,670.000</b>			<b>\$274,394.39</b>	<b>\$260,560.59</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$13,833.80</b>	<b>\$0.00</b>
SANDVIK AB SPONS ADR									
	13,011.000	09/10/20	08/06/21	\$333,821.52	\$274,653.10	\$0.00	\$0.00	\$59,168.42	\$0.00
	15,883.000	11/18/20	08/06/21	\$407,508.05	\$349,330.70	\$0.00	\$0.00	\$58,177.35	\$0.00
<b>Security Subtotal</b>	<b>28,894.000</b>			<b>\$741,329.57</b>	<b>\$623,983.80</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$117,345.77</b>	<b>\$0.00</b>
SNAP-ON INC									
	3,198.000	03/02/21	11/05/21	\$677,205.02	\$672,240.07	\$0.00	\$0.00	\$4,964.95	\$0.00
	1,939.000	07/15/21	11/05/21	\$410,600.55	\$433,556.72	\$0.00	\$0.00	(\$22,956.17)	\$0.00
<b>Security Subtotal</b>	<b>5,137.000</b>			<b>\$1,087,805.57</b>	<b>\$1,105,796.79</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$17,991.22)</b>	<b>\$0.00</b>

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>TAIWAN SMCNDCR MFG CO LTD ADR</b>									
		CUSIP: 874039100		Symbol: TSM					
	4,447.000	03/17/20	03/02/21	\$563,779.33	\$212,542.14	\$0.00	\$0.00	\$351,237.19	\$0.00
	2,632.000	03/17/20	03/09/21	\$305,323.34	\$125,795.12	\$0.00	\$0.00	\$179,528.22	\$0.00
<b>Security Subtotal</b>	<b>7,079.000</b>			<b>\$869,102.67</b>	<b>\$338,337.26</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$530,765.41</b>	<b>\$0.00</b>
<b>TARGET CORPORATION</b>									
		CUSIP: 87612E106		Symbol: TGT					
	4,669.000	11/16/21	12/28/21	\$1,055,213.82	\$1,239,845.95	\$0.00	\$0.00	(\$184,632.13)	\$0.00
<b>TEXAS INSTRUMENTS</b>									
		CUSIP: 882508104		Symbol: TXN					
	1,721.000	04/06/21	11/05/21	\$333,283.55	\$335,672.45	\$0.00	\$0.00	(\$2,388.90)	\$0.00
<b>UNITEDHEALTH GP INC</b>									
		CUSIP: 91324P102		Symbol: UNH					
	2,075.000	01/08/20	01/04/21	\$720,961.07	\$611,952.57	\$0.00	\$0.00	\$109,008.50	\$0.00
<b>VIACOMCBS INC CL B</b>									
		CUSIP: 92556H206		Symbol: PARA					
	16,038.000	06/09/20	01/28/21	\$800,996.99	\$423,815.37	\$0.00	\$0.00	\$377,181.62	\$0.00
	1,680.000	06/09/20	03/16/21	\$161,146.29	\$44,395.18	\$0.00	\$0.00	\$116,751.11	\$0.00
	2,189.000	06/19/20	03/16/21	\$209,969.77	\$52,334.83	\$0.00	\$0.00	\$157,634.94	\$0.00
	6,080.000	06/19/20	03/26/21	\$384,829.20	\$145,361.25	\$0.00	\$0.00	\$239,467.95	\$0.00
<b>Security Subtotal</b>	<b>25,987.000</b>			<b>\$1,556,942.25</b>	<b>\$665,906.63</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$891,035.62</b>	<b>\$0.00</b>
<b>Total Short Term Covered Securities</b>				<b>\$28,068,822.53</b>	<b>\$23,687,934.61</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,380,887.92</b>	<b>\$0.00</b>

**Long Term - Covered Securities** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>ANTA SPORTS PRODS LTD ADR</b>									
		CUSIP: 03662P107		Symbol: ANPDY					
	481.000	03/25/20	11/05/21	\$193,132.73	\$89,522.81	\$0.00	\$0.00	\$103,609.92	\$0.00
	1,108.000	10/30/20	11/05/21	\$444,887.88	\$308,594.73	\$0.00	\$0.00	\$136,293.15	\$0.00
<b>Security Subtotal</b>	<b>1,589.000</b>			<b>\$638,020.61</b>	<b>\$398,117.54</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$239,903.07</b>	<b>\$0.00</b>
<b>BRITISH AMER TOB SPON ADR</b>									
		CUSIP: 110448107		Symbol: BTI					
	14,076.000	01/08/20	03/02/21	\$506,157.71	\$638,442.32	\$0.00	\$0.00	(\$132,284.61)	\$0.00

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Long Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
<b>DOMINION ENERGY INC</b> CUSIP: 25746U109 Symbol: D									
	1,521.000	05/27/20	07/15/21	\$115,463.69	\$123,733.50	\$0.00	\$0.00	(\$8,269.81)	\$0.00
	4,230.000	07/10/20	07/15/21	\$321,112.05	\$311,996.76	\$0.00	\$0.00	\$9,115.29	\$0.00
<b>Security Subtotal</b>	<b>5,751.000</b>			<b>\$436,575.74</b>	<b>\$435,730.26</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$845.48</b>	<b>\$0.00</b>
<b>FLUTTER ENTMT PLC ADR</b> CUSIP: 344044102 Symbol: PDYPY									
	1,839.952	03/10/20	02/08/21	\$180,209.75	\$115,747.39	\$0.00	\$0.00	\$64,462.36	\$0.00
	2,834.048	03/11/20	02/08/21	\$277,574.13	\$180,300.49	\$0.00	\$0.00	\$97,273.64	\$0.00
	2,124.000	03/11/20	11/05/21	\$180,367.89	\$135,127.64	\$0.00	\$0.00	\$45,240.25	\$0.00
<b>Security Subtotal</b>	<b>6,798.000</b>			<b>\$638,151.77</b>	<b>\$431,175.52</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$206,976.25</b>	<b>\$0.00</b>
<b>FORTESCUE METALS GROUP LTD ADR</b> CUSIP: 34959A206 Symbol: FSUGY									
	20,386.000	10/30/20	11/05/21	\$429,583.83	\$499,316.34	\$0.00	\$0.00	(\$69,732.51)	\$0.00
<b>JOHNSON &amp; JOHNSON</b> CUSIP: 478160104 Symbol: JNJ									
	1,664.000	12/18/18	11/05/21	\$271,766.75	\$218,628.96	\$0.00	\$0.00	\$53,137.79	\$0.00
	1,463.000	03/25/20	11/05/21	\$238,939.16	\$202,108.16	\$0.00	\$0.00	\$36,831.00	\$0.00
	682.000	04/01/20	11/05/21	\$111,385.17	\$99,284.80	\$0.00	\$0.00	\$12,100.37	\$0.00
	1,786.000	04/01/20	11/05/21	\$291,691.96	\$229,275.43	\$0.00	\$0.00	\$62,416.53	\$0.00
<b>Security Subtotal</b>	<b>5,595.000</b>			<b>\$913,783.04</b>	<b>\$749,297.35</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$164,485.69</b>	<b>\$0.00</b>
<b>JPMORGAN CHASE &amp; CO</b> CUSIP: 46625H100 Symbol: JPM									
	3,468.000	03/15/16	04/06/21	\$528,810.42	\$204,311.32	\$0.00	\$0.00	\$324,499.10	\$0.00
	2,680.000	03/15/16	07/15/21	\$416,035.44	\$157,887.65	\$0.00	\$0.00	\$258,147.79	\$0.00
	213.000	03/15/16	11/16/21	\$35,337.78	\$12,548.53	\$0.00	\$0.00	\$22,789.25	\$0.00
	934.000	09/12/17	11/16/21	\$154,955.32	\$85,126.91	\$0.00	\$0.00	\$69,828.41	\$0.00
	3,729.000	06/09/20	11/16/21	\$618,659.94	\$412,887.19	\$0.00	\$0.00	\$205,772.75	\$0.00
<b>Security Subtotal</b>	<b>11,024.000</b>			<b>\$1,753,798.90</b>	<b>\$872,761.60</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$881,037.30</b>	<b>\$0.00</b>
<b>LAM RESEARCH CORPORATION</b> CUSIP: 512807108 Symbol: LRCX									
	898.000	10/30/20	11/05/21	\$540,675.14	\$315,226.02	\$0.00	\$0.00	\$225,449.12	\$0.00
<b>NEXTERA ENERGY INC</b> CUSIP: 65339F101 Symbol: NEE									
	4,200.000	05/11/20	07/14/21	\$318,267.65	\$237,632.75	\$0.00	\$0.00	\$80,634.90	\$0.00
<b>ROCHE HOLDINGS ADR</b> CUSIP: 771195104 Symbol: RHHBY									
	5,557.000	03/31/17	03/02/21	\$228,607.14	\$178,654.77	\$0.00	\$0.00	\$49,952.37	\$0.00
	3,317.000	11/22/19	03/02/21	\$136,456.71	\$126,606.90	\$0.00	\$0.00	\$9,849.81	\$0.00
<b>Security Subtotal</b>	<b>8,874.000</b>			<b>\$365,063.85</b>	<b>\$305,261.67</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$59,802.18</b>	<b>\$0.00</b>

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Long Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TAIWAN SMCNDCTR MFG CO LTD ADR		CUSIP: 874039100		Symbol: TSM					
	5,506.000	03/17/20	07/22/21	\$642,479.75	\$263,156.52	\$0.00	\$0.00	\$379,323.23	\$0.00
	2,038.000	03/25/20	07/22/21	\$237,808.52	\$100,027.08	\$0.00	\$0.00	\$137,781.44	\$0.00
<b>Security Subtotal</b>	<b>7,544.000</b>			<b>\$880,288.27</b>	<b>\$363,183.60</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$517,104.67</b>	<b>\$0.00</b>
VIACOMCBS INC CL B		CUSIP: 92556H206		Symbol: PARA					
	4,569.000	06/19/20	07/15/21	\$188,783.72	\$109,236.11	\$0.00	\$0.00	\$79,547.61	\$0.00
	1,397.000	07/10/20	07/15/21	\$57,721.79	\$30,705.50	\$0.00	\$0.00	\$27,016.29	\$0.00
<b>Security Subtotal</b>	<b>5,966.000</b>			<b>\$246,505.51</b>	<b>\$139,941.61</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$106,563.90</b>	<b>\$0.00</b>
<b>Total Long Term Covered Securities</b>				<b>\$7,666,872.02</b>	<b>\$5,386,086.58</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,280,785.44</b>	<b>\$0.00</b>

**Noncovered Securities with Undetermined Holding Period #** (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part I or Part II with box C or E checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
STELLANTIS N.V SHS		CUSIP: N82405106		Symbol: STLA					
	0.000		04/16/21	\$46,580.61					\$0.00
<b>Total Noncovered Securities with Undetermined Holding Period</b>				<b>\$46,580.61</b>					<b>\$0.00</b>
<b>Total Covered and Noncovered Securities</b>				<b>\$35,782,275.16</b>	<b>\$29,074,021.19</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$6,661,673.36</b>	<b>\$0.00</b>

**Form 1099-B Total Reportable Amounts** - Does not include cost basis, accrued market discount or wash sale loss disallowed amounts for noncovered securities.

<b>Total IRS Reportable Proceeds (Box 1d)</b>	<b>\$35,782,275.16</b>
<b>Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)</b>	<b>\$29,074,021.19</b>
<b>Total IRS Reportable Accrued Market Discount (Box 1f)</b>	<b>\$0.00</b>
<b>Total IRS Reportable Wash Sale Loss Disallowed (Box 1g)</b>	<b>\$0.00</b>
<b>Total Fed Tax Withheld (Box 4)</b>	<b>\$0.00</b>

# Morgan Stanley reports transactions in the Noncovered Securities with Undetermined Holding Period Section of the 1099-B portion of the 1099 Consolidated Tax Statement when the holding period or cost basis is not readily available, and therefore Morgan Stanley is not able to determine the appropriate holding period designation for these transactions. Please consult with your tax advisor.



## Grants Paid Schedule

<u>Organization Name</u>	<u>Address</u>	<u>City</u>	<u>State</u>	<u>Postal Code</u>	<u>Country</u>	<u>Amount Paid</u>	<u>Purpose</u>	<u>Status</u>
Abby Kelley Foster House Inc	52 High Street	Worcester	MA	01609	United States	85,000	Charitable Contribution	Public Charity
African Parks Foundation of America	21 West 46th Street	New York	NY	10036	United States	8,200,000	Charitable Contribution	Public Charity
America Academy of Arts and Sciences	136 Irving Street	Cambridge	MA	02138	United States	250,000	Charitable Contribution	Public Charity
Archaeology Southwest	300 North Ash Alley	Tucson	Arizona	85701	United States	60,000	Charitable Contribution	Public Charity
Attention Inc	1443 Spruce Street	Boulder	CO	80302-3150	United States	150,000	Charitable Contribution	Public Charity
Aves Argentinas Asociacion Ornitologica Del Plata	Matheu 1246	Ciudad Autonoma De Buenos Aires	Buenos Aires	C1249AAB	Argentina	415,000	Charitable Contribution	Public Charity
Foundation Beyeler	Luftgaesslein 4	Basel	Switzerland	CH-4051	Switzerland	4,904,190	Charitable Contribution	Swiss Non-Profit
Brookline Food Pantry Inc.	15 Saint Paul Street	Bookline	MA	02446	United States	175,000	Charitable Contribution	Public Charity
California Wilderness Coalition	520 Third Street	Oakland	CA	94607	United States	60,000	Charitable Contribution	Public Charity
Canadian Parks and Wilderness Society	600-100 Gloucester Street	Ottawa	ON	K2P 0A4	Canada	400,000	Charitable Contribution	Public Charity
Casa Myrna Vasquez Inc	451 Blue Hill Ave	Boston	MA	02121-4324	United States	75,000	Charitable Contribution	Public Charity
Center for Advanced Defense Studies, Inc.	1201 I St NW, Suite 200	Washington	DC	20005	United States	250,000	Charitable Contribution	Public Charity
Center For Responsible Lending	302 W Main Street	Durham	NC	27701	United States	1,312,500	Charitable Contribution	Public Charity
Center on Budget and Policy Priorities	1275 First Street NE, Suite 1200	Washington	DC	20002	United States	3,000,000	Charitable Contribution	Public Charity
Charles River Conservancy	43 Thorndike Street	Cambridge	MA	02141	United States	20,000	Charitable Contribution	Public Charity
Chester County Food Bank	650 Pennsylvania Drive	Exton	PA	19341	United States	95,000	Charitable Contribution	Public Charity
Children's Hospital Los Angeles	4650 Sunset Blvd.	Los Angeles	CA	90027	United States	1,000,000	Charitable Contribution	Public Charity
Community Foundation of Jackson Hole	PO Box 574	Jackson	WY	83001	United States	1,150,000	Charitable Contribution	Public Charity
Community Safety Network	P.O. Box 1328	Jackson	WY	83001	United States	225,000	Charitable Contribution	Public Charity
Constitutional Accountability Center	1200 18th Street, NW Suite 501	Washington	DC	20036	United States	250,000	Charitable Contribution	Public Charity
Daemion Counseling Center	95 Howellville Road	Berwyn	PA	19312	United States	45,000	Charitable Contribution	Public Charity

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Demos A Network for Ideas & Action LTD	80 Broad St, 4th Floor	New York	NY	10004	United States	550,000	Charitable Contribution	Public Charity
Doctors Without Borders	P.O. Box 5023	Hagerstown	MD	21741-5023	United States	175,000	Charitable Contribution	Public Charity
Ducks Unlimited	3074 Gold Canal Drive	Rancho Cordova	CA	95670	United States	250,000	Charitable Contribution	Public Charity
EcoFlight	307 Aspen Airport Business Center, Unit L	Aspen	CO	81611	United States	50,000	Charitable Contribution	Public Charity
Ecology Action Centre	2705 Fern Lane	Halifax	Nova Scotia	B3K 1T2	Canada	100,000	Charitable Contribution	Public Charity
Elizabeth Stone House Inc	8 Notre Dame Street	Roxbury	MA	02119	United States	125,000	Charitable Contribution	Public Charity
Featherstone Center for Arts	PO Box 1145	Oak Bluff	MA	02557-1145	United States	10,000	Charitable Contribution	Public Charity
Fondo Mexicano para la Conservación de la NDamas No. 49, Col. San José Ins Delegación Benito Ju C. P. 03900, M 03900					Mexico	9,326,663	Charitable Contribution	Public Charity
Fundatia Conservation Carpathia	Str. Principala 67b	Sinca Noua	Romania		Romania	8,439,347	Charitable Contribution	Public Charity
Four Corners School of Outdoor Education	P.O. Box 1029	Monticello	UT	84535	United States	25,000	Charitable Contribution	Public Charity
Frankfurt Zoological Society - US., Inc.	3810 Argyle Terrace NW	Washington	DC	20011	United States	3,110,000	Charitable Contribution	Public Charity
Friends Association For Care & Protection of Children	113 W Chestnut Street	West Chester	PA	19380-0000	United States	25,000	Charitable Contribution	Public Charity
Georgetown University	Box 571168, 37th & O Streets, N.W.	Washington	DC	20057-1168	United States	420,000	Charitable Contribution	Public Charity
Glacier - Two Medicine Alliance	P.O. Box 181	East Glacier Park	MT	59434	United States	60,000	Charitable Contribution	Public Charity
Good Neighbors Inc	224 E. Street Road, Suite 2	Kennett Square	PA	19348	United States	80,000	Charitable Contribution	Public Charity
Good Samaritan Mission	PO Box 1218, 285 West Pearl Ave	Jackson	WY	83001	United States	50,000	Charitable Contribution	Public Charity
Grand Canyon Trust	2601 N. Fort Valley Road	Flagstaff	AZ	86001	United States	1,000,000	Charitable Contribution	Public Charity
Greater Boston Food Bank	70 S Bay Avenue	Boston	MA	02118	United States	350,000	Charitable Contribution	Public Charity
Habitat for Humanity of the Greater Teton Are	850 West Broadway, Suite D, PO	Jackson	WY	83001	United States	850,000	Charitable Contribution	Public Charity
Hispanic Access Foundation	1030 15th Street, NW	Washington	DC	20005	United States	60,000	Charitable Contribution	Public Charity
Hole Food Rescue Corp	P.O. Box 2955	Jackson	WY	83001	United States	50,000	Charitable Contribution	Public Charity

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Indian Pueblo Cultural Center	2401 12th Street, NW	Albuquerque	NM	87104	United States	60,000	Charitable Contribution	Public Charity
International Community Foundation	2505 N Avenue	National City	CA	91950	United States	1,000,000	Charitable Contribution	Public Charity
Internationaler Naturerbe Fonds - Legacy Land	Friedrich-Ebert-Anlage 36, c/o Na	Frankfurt	Hesse	60325	Germany	50,000	Charitable Contribution	Public Charity
Jackson Hole Community Counseling Center	PO Box 1868, 115 W Snow King Ave	Jackson	WY	83001	United States	60,000	Charitable Contribution	Public Charity
Jackson Hole Community Housing Trust	PO Box 4498, 110 E Broadway	Jackson	WY	83001	United States	400,000	Charitable Contribution	Public Charity
League of Conservation Voters Education Fund	740 15th Street, NW	Washington	DC	20005	United States	60,000	Charitable Contribution	Public Charity
Lewis & Clark Law School	10101 S. Terwilliger Blvd	Portland	OR	97219	United States	214,868	Charitable Contribution	Public Charity
Little Sisters of The Assumption Family Health Services Inc	550 Dudley Street	Roxbury	MA	02119	United States	60,000	Charitable Contribution	Public Charity
Lord's Pantry of Downingtown	141 E Lancaster Ave	Dowingtown	PA	19335-2917	United States	75,000	Charitable Contribution	Public Charity
Meals on Wheels of Chester County Inc.	404 Willowbrook Lane	West Chester	PA	19382-5570	United States	90,000	Charitable Contribution	Public Charity
MigraMar	9255 Sir Frances Drake Blvd	Olema	California	94950	United States	175,000	Charitable Contribution	Public Charity
Mobile Meals of Southern Arizona	4803 East 5th Street, Ste 209	Tucson	AZ	85711	United States	80,000	Charitable Contribution	Public Charity
National Audubon Society Inc.	225 Varick Street	New York	NY	10014	United States	150,000	Charitable Contribution	Public Charity
National Health Law Program, Inc.	3701 Wilshire Blvd., Suite 750	Los Angeles	CA	90010	United States	300,000	Charitable Contribution	Public Charity
National Park Trust	401 East Jefferson Street, Suite 207	Rockville	MD	20850	United States	200,000	Charitable Contribution	Public Charity
Nature Conservancy of Canada	245 Eglinton Avenue East, Suite 410	Toronto	ON	M4P 3J1	Canada	313,373	Charitable Contribution	Public Charity
Northern Arizona University Foundation	PO Box 4094, Building 10, Old Main	Flagstaff	AZ	86011	United States	89,669	Charitable Contribution	Public Charity
Northwest Philadelphia Interfaith Hospitality Network Inc	7047 Germantown Avenue	Philadelphia	PA	19119	United States	110,000	Charitable Contribution	Public Charity
Nuestra Tierra Conservation Project	P.O. Box 16172	Las Cruces	NM	88001	United States	60,000	Charitable Contribution	Public Charity
New York University - NYU Langone Hospitals	550 First Avenue	New York City	New York	10016	United States	3,022,182	Charitable Contribution	Public Charity
Oceana, Inc.	1025 Connecticut Avenue NW, Suite 200	Washington	DC	20036	United States	2,750,000	Charitable Contribution	Public Charity

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Oceans North Conservation Society	100 Gloucester Street, Ste 502	Ottawa	ON	K2P 0A4	United States	577,000	Charitable Contribution	Public Charity
On The Rise Inc	341 Broadway	Cambridge	MA	02139	United States	125,000	Charitable Contribution	Public Charity
One22 Inc	PO Box 1232	Jackson	WY	83001-1232	United States	300,000	Charitable Contribution	Public Charity
Open Space Institute	1350 Broadway, Suite 201	New York City	NY	10018	United States	300,000	Charitable Contribution	Public Charity
Oregon Desert Land Trust	2843 NW Lolo Drive	Bend	OR	97703	United States	60,000	Charitable Contribution	Public Charity
Oregon Natural Desert Association	50 SW Bond Street, Suite 4	Bend	OR	97702	United States	60,000	Charitable Contribution	Public Charity
Osa Conservation	1012 14th Street NW, Suite 625	Washington DC	DC	20005	United States	100,000	Charitable Contribution	Public Charity
PAMS Foundation Inc.	5767 Christine Drive, Humboldt State	Eureka	CA	95503	United States	375,000	Charitable Contribution	Public Charity
Partners Healthcare System Inc.	399 Revolution Drive	Somerville	MA	02145	United States	15,000	Charitable Contribution	Public Charity
Partners in Island Education Inc	P.O. Box 664	Vinalhaven	ME	04863	United States	40,000	Charitable Contribution	Public Charity
Peacewomen Across the Globe	Maulbeerstrasse 14	3011 Bern	Switzerland	.	Switzerland	446,480	Charitable Contribution	Swiss Non-Profit
Pennsylvania Home of the Sparrow	969 E Swedesford Road	Exton	PA	19341	United States	125,000	Charitable Contribution	Public Charity
Peoples Emergency Center	325 N 39th St	Philadelphia	PA	19104	United States	110,000	Charitable Contribution	Public Charity
Philabundance	3616 South Galloway Street	Philadelphia	PA	19148	United States	80,000	Charitable Contribution	Public Charity
Pronatura Noreste A. C.	Loma Grande #2623 col. Loma L:	MONTERREY		NUEVO LEÓN 64710	Mexico	175,000	Charitable Contribution	Public Charity
Regents of the University of Michigan	440 Church Street	Ann Arbor	MI	48109	United States	332,278	Charitable Contribution	Public Charity
Resources Legacy Fund	555 Capitol Mall, Suite 1095	Sacramento	CA	95814-4505	United States	2,700,000	Charitable Contribution	Public Charity
River Arts of Morrisville, Inc.	PO Box 829, 74 Pleasant Street	Morrisville	VT	05661	United States	7,500	Charitable Contribution	Public Charity
Rockefeller Philanthropy Advisors, Inc.	6 West 48th Street, 10th Floor	New York	NY	10036	United States	147,000	Charitable Contribution	Public Charity
Rocky Mountain Elk Foundation	5705 Grant Creek Road	Missoula	MT	59808	United States	1,500,000	Charitable Contribution	Public Charity
Rocky Mountain Field Institute, Inc.	815 South 25th Street, Suite 101	Colorado Springs	CO	80904	United States	20,000	Charitable Contribution	Public Charity

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Roger Federer Charitable Fund, Inc.	6 E 43rd Street	New York	New York	10017	United States	1,000,000	Charitable Contribution	Public Charity
Rosie's Place	889 Harrison Avenue, Boston	Boston	MA	02118	United States	225,000	Charitable Contribution	Public Charity
Royal Society for the Protection of Birds	The Lodge, Potton Road	Sandy	Bedfordshire	SG19 2DL	England	751,588	Charitable Contribution	Public Charity
Safe Harbor of Chester County	20 N Matlack St	West Chester	PA	19380-3132	United States	90,000	Charitable Contribution	Public Charity
Safe Voices	P.O. Box 713	Auburn	ME	04212	United States	105,000	Charitable Contribution	Public Charity
Sheriffs Meadow Foundation	PO Box 1088	Vinalhaven	MA	02568	United States	1,500,000	Charitable Contribution	Public Charity
Solar United Neighbors	1350 Connecticut Ave, NW, Suite 412	Washington	DC	20036	United States	300,000	Charitable Contribution	Public Charity
Special Olympics Pennsylvania	2570 Blvd. of the Generals, Suite 124	Norristown	PA	19403	United States	25,000	Charitable Contribution	Public Charity
Studios on the Park	P.O. Box 3000	Paso Robles	CA	93447	United States	15,000	Charitable Contribution	Public Charity
Teton Youth & Family Services Inc	P.O. Box 2631	Jackson	WY	83001	United States	125,000	Charitable Contribution	Public Charity
The ARC of Chester County	900 Lawrence Drive	West Chester	PA	19380-3415	United States	80,000	Charitable Contribution	Public Charity
The Nature Conservancy	4245 N. Fairfax Drive, Suite 100	Arlington	VA	22203	United States	22,134,710	Charitable Contribution	Public Charity
The Pew Charitable Trusts	901 E Street NW	Washington	DC	20004	United States	204,000	Charitable Contribution	Public Charity
The Salvation Army Ivy Residence Inc.	701 N Broad Street	Philadelphia	PA	19123	United States	20,000	Charitable Contribution	Public Charity
The Sierra Club Foundation	2101 Webster, Suite 1250	Oakland	CA	94612	United States	60,000	Charitable Contribution	Public Charity
The Trust for Public Land	101 Montgomery Street	San Francisco	CA	94105	United States	2,060,000	Charitable Contribution	Public Charity
The Wilderness Land Trust	P.O. Box 881, 2 N. Last Chance Gulch	Helena	MT	59624	United States	75,000	Charitable Contribution	Public Charity
The Wilderness Society	1615 M Street NW	Washington	istrict of Columb	20036	United States	1,000,000	Charitable Contribution	Public Charity
South Endeavour Trust	PO Box 2390	Bondi Junction		NSW 1355	Australia	2,949,880	Charitable Contribution	Australian Charitable Trust
Thomas Jefferson University	125 S. 9th Street, Suite 600	Philadelphia	PA	19107	United States	300,000	Charitable Contribution	Public Charity
Tompkins Conservation	1606 Union Street	San Francisco	CA	94123	United States	1,000,000	Charitable Contribution	Public Charity

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Trout Unlimited	1777 N Kent St, Suite 100	Arlington	VA	22209	United States	1,000,000	Charitable Contribution	Public Charity
University of Bern	Mittelstrasse 43	Bern	Switzerland	3012	Switzerland	10,859,200	Charitable Contribution	Public Charity
University of Colorado Foundation	1800 Grant Street, Suite 725, University of Colorado Law School	Denver	CO	80203	United States	74,009	Charitable Contribution	Public Charity
University of Montana Foundation	PO Box 7159	Missoula	MT	59807	United States	199,473	Charitable Contribution	Public Charity
Urban Peak Denver	730 21st St	Denver	CO	80205-2813	United States	50,000	Charitable Contribution	Public Charity
Victory Programs Inc	965 Mass Avenue	Boston	MA	02118	United States	70,000	Charitable Contribution	Public Charity
Vineyard Conservation Society Inc	Mary P. Wakeman Center, PO Box 2189	Vineyard Haven	MA	02568	United States	20,000	Charitable Contribution	Public Charity
Volunteer English Program in Chester County	790 E Market Street, Suite 215	West Chester	PA	19382	United States	20,000	Charitable Contribution	Public Charity
Washington Center for Equitable Growth, Inc.	1156 15th St NW Suite 700	Washington	D.C.	20005	United States	1,200,000	Charitable Contribution	Public Charity
Western Rivers Conservancy	71 SW Oak Street, Ste. 100	Portland	OR	97204	United States	100,000	Charitable Contribution	Public Charity
Wildlife Conservation Society	2300 Southern Boulevard	Bronx	New York	10460	United States	150,000	Charitable Contribution	Public Charity
Women's Lunch Place	PO Box 170900	Boston	MA	02117	United States	100,000	Charitable Contribution	Public Charity
Women's Resource Center	113 West Wayne Avenue	Wayne	PA	19087	United States	85,000	Charitable Contribution	Public Charity
World Health Dental Organization	Box 107, 2023 East Sims Way	Port Townsend	WA	98368	United States	100,000	Charitable Contribution	Public Charity
Wyss Center for Bio & Neuro Engineering	Chemin des Mines 9	Geneva	Switzerland	1202	Switzerland	18,522,175	Charitable Contribution	Swiss Foundation
Yale University	Office of Sponsored Projects, P.O. Box 208327	New Haven	Connecticut	06520-8327	United States	299,227	Charitable Contribution	Public Charity
Year Up	45 Milk Street, 9th Floor	Boston	MA	02110	United States	1,000,000	Charitable Contribution	Public Charity
Yellowstone to Yukon Conservation Initiative	P.O. Box 157	Bozeman	Montana	59771	United States	200,000	Charitable Contribution	Public Charity
Baupost Value Partners LP I - Partnership Pass Through	10 St. James Street	Boston	MA	02116	United States	349	Charitable Contribution	Public Charity
Baupost Value Partners LP III - Partnership Pass Through	10 St. James Street	Boston	MA	02116	United States	2,199	Charitable Contribution	Public Charity
						<u>132,214,860</u>		